

## CASSELS' LEMMA

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**Theorem 1.** (*Cassels' Lemma*) *Let  $K$  be a complete local field with finite residue field  $\mathbb{F}_q$ . Let  $\Psi : A \rightarrow A'$  be an isogeny of abelian varieties defined over  $K$ . We assume that  $A$  (hence also  $A'$ ) has good reduction and that  $\deg \Psi$  is coprime to  $q$ . Then the image  $\mathcal{K}$  of the Kummer map*

$$A'(K)/\Psi(A(K)) \rightarrow H^1(K, A[\Psi])$$

*is equal to the subgroup  $H^1(K^{\text{unr}}/K, A[\Psi])$  of  $H^1(K, A[\Psi])$  consisting of classes killed by restriction to the maximal unramified extension  $K^{\text{unr}}$  of  $K$ .*

First proof: We have a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \rightarrow & A'(K)/\Psi(A(K)) & \xrightarrow{\alpha} & H^1(K, A[\Psi]) & \xrightarrow{\beta} & H^1(K, A[\Psi]) \rightarrow 0 \\ 0 & \rightarrow & A'(K^{\text{unr}})/\Psi(A(K^{\text{unr}})) & \xrightarrow{\alpha'} & H^1(K^{\text{unr}}, A[\Psi]) & \xrightarrow{\beta'} & H^1(K^{\text{unr}}, A[\Psi]) \rightarrow 0. \end{array}$$

Step 1: Let  $\xi \in H^1(K, A[\Psi])$  be a class which is in the image of the Kummer map; equivalently,  $\beta(\xi) = 0$ . We will show that  $\text{res } \xi = 0$ . Because of the commutativity of the diagram, we have  $\beta' \text{res } \xi = \text{res } \beta\xi = \text{res } 0 = 0$ . We claim that  $\beta'$  is injective, which suffices. Indeed, we will show:

**Lemma 2.** *With hypotheses above, we have*

$$A'(K^{\text{unr}})/\Psi(A(K^{\text{unr}})) = 0.$$

*Proof.* Since  $A[\Psi] \subset A[\deg \Psi]$ , it suffices to show the result for  $\Psi = [n]$  on  $A$  with  $n$  a positive integer coprime to the residue characteristic,  $p$ . Let  $\tilde{A}$  be the (good!) reduction of  $A$  modulo the maximal ideal of  $\mathcal{O}_K$ . We consider the base change to  $K^{\text{unr}}$ : reduction gives a short exact sequence

$$0 \rightarrow A^0(K^{\text{unr}}) \rightarrow A(K^{\text{unr}}) \rightarrow \tilde{A}(\overline{\mathbb{F}}_q) \rightarrow 0.$$

Certainly  $\tilde{A}(\overline{\mathbb{F}}_q)$ , being the group of points of a connected group scheme over an algebraically closed field, is divisible. On the other hand, the theory of formal groups gives an isomorphism

$$A^0(K^{\text{unr}}) \cong (\mathcal{O}_{K^{\text{unr}}}, +) \bigoplus T_p,$$

where  $T_p$  is a  $p$ -primary torsion abelian group. Since  $n$  is a unit in the ring  $\mathcal{O}_{K^{\text{unr}}}$ , its additive group is  $n$ -divisible. Therefore  $A(K^{\text{unr}})$ , being an extension of  $n$ -divisible abelian groups, is itself  $n$ -divisible.  $\square$

Step 2: So far we know that  $\mathcal{K}$ , the image of the Kummer map, is a subgroup of  $H^1(K^{\text{unr}}/K, A[\Psi])$ . It is easy to see that the latter is a finite abelian group – indeed, the set of continuous cocycles from the topologically cyclic group  $\text{Gal}(K^{\text{unr}}/K)$  to the finite abelian group  $A[\Psi]$  is finite. Hence  $\mathcal{K}$  is also finite, and if  $H \subset G$  are

finite abelian groups, to show that  $H = G$  it suffices to show that  $\#H = \#G$ .

We recall the following result of basic Galois cohomology of  $\hat{\mathbb{Z}} = \text{Gal}(K^{\text{unr}}/K)$ . If  $M$  is a finite  $\hat{\mathbb{Z}}$ -module, then

$$H^1(\hat{\mathbb{Z}}, M) \cong M/(F-1)M,$$

where  $F$  is the Frobenius map, the distinguished topological generator of  $\hat{\mathbb{Z}}$ . Reduction induces an isomorphism on the  $n$ -torsion, so  $A[\psi](\bar{K}) = A[\psi](K^{\text{unr}})$ ; we abbreviate this as  $A[\psi]$  and write  $A[\psi](K)$  for the subgroup of  $K$ -rational points, which is precisely the kernel of  $F-1$ . So we have

$$H^1(K^{\text{unr}}/K, A[\Psi]) \cong A[\psi]/(F-1)A[\Psi].$$

Next a tiny piece of pure algebra: let  $M$  be a finite abelian group and  $\varphi$  an endomorphism of  $M$ . Then contemplation of the short exact sequence

$$0 \rightarrow \ker \varphi \rightarrow M \xrightarrow{\varphi} M \rightarrow \text{coker } \varphi \rightarrow 0$$

gives  $\#\ker \varphi = \#\text{coker } \varphi$ . Applying this with  $M = A[\varphi]$  and  $\varphi$  equal to  $F-1$ , we get

$$\#H^1(K^{\text{unr}}/K, A[\Psi]) = \#A[\Psi]/(F-1)A[\Psi] = \#\text{coker } \varphi = \#\ker \varphi = \#A[\Psi](K) = \#\tilde{A}[\Psi](\mathbb{F}_q).$$

On the other hand, because  $\Psi$  is surjective on the kernel of reduction, we have

$$\#\mathcal{K} = \#A'(K)/\Psi(A(K)) = \#\tilde{A}'(\mathbb{F}_q)/\tilde{\Psi}\tilde{A}(\mathbb{F}_q).$$

Note that since  $\tilde{A}$  and  $\tilde{A}'$  are  $\mathbb{F}_q$ -rationally isogenous,  $\#\tilde{A}(\mathbb{F}_q) = \#\tilde{A}'(\mathbb{F}_q)$ . Therefore, applying a similar counting argument to the exact sequence

$$0 \rightarrow \tilde{A}(\mathbb{F}_q)[\tilde{\Psi}] \rightarrow \tilde{A}(\mathbb{F}_q) \rightarrow \tilde{A}'(\mathbb{F}_q) \rightarrow \#\tilde{A}'(\mathbb{F}_q)/\tilde{\Psi}\tilde{A}(\mathbb{F}_q) = 0,$$

we conclude

$$\#H^1(K^{\text{unr}}/K, A[\Psi]) = \#\tilde{A}(\mathbb{F}_q)[\tilde{\Psi}] = \#\tilde{A}'(\mathbb{F}_q)/\tilde{\Psi}\tilde{A}(\mathbb{F}_q) = \#\mathcal{K},$$

qed.