

SO(3) MONOPOLES  
AND RELATIONS  
BETWEEN 4-MANIFOLD  
INVARIANTS

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## THE PROBLEM ...

$X$  IS A CLOSED,  
ORIENTED SMOOTH  
4-MANIFOLD,  $b_2^+ \geq 1$

- DONALDSON INVARIANTS  
DEFINED USING  $SO(3)$   
GAUGE THEORY (i.e.  
(YANG-MILLS))

- SEIBERG-WITTEN (SW)  
INVARIANTS  
DEFINED VIA  $U(1)$  GAUGE  
THEORY (MONOPOLES)

PROBLEM PROVE WITTEN'S  
CONJECTURE RELATING  
D AND SW INVARIANTS

## REMARKS ON THE PROBLEM

- CLASSICAL VS QUANTUM FIELD THEORY ?
- WITTEN'S ARGUMENT USES "N=2 SUSY QUANTUM YANG-MILLS THEORY" AND "DUALITY"
- METHOD WE USE INVOLVES ONLY CLASSICAL GAUGE FIELD THEORY. (SO(3) MONOPOLES)
- RESCALING METRIC  $g$  ON  $X$ ?
- METRIC RESCALING PLAYS KEY ROLE IN WITTEN'S APPROACH.
- IN CLASSICAL, SO(3) MONOPOLE APPROACH, ALL GAUGE THEORIES SCALE INVARIANT.

## SPINORS

- RIEMANNIAN METRIC  $g$  ON  $X$

$V$  HERMITIAN VECTOR

↓

$X$  BUNDLE, WITH

CLIFFORD MAP,

$$\rho: T^*X \rightarrow \text{END}_{\mathbb{C}}(V)$$

OBEYING:

$$\rho(\alpha)^{\dagger} = -\rho(\alpha), \quad \alpha \in \Omega^1(X, \mathbb{R})$$

$$\rho(\alpha)^2 = -g(\alpha, \alpha)$$

COMPLEX CLIFFORD-ALGEBRA

MODULE:  $(\rho, V)$

- $s = (\rho, W)$  IS A  $\text{SPIN}^{\mathbb{C}}$  STRUCTURE WHEN  $\text{RANK}_{\mathbb{C}} W = 4$

- $t = (\rho, V)$  IS A "SPIN" STRUCTURE WHEN  $\text{RANK}_{\mathbb{C}} V = 8$ .

GIVEN ANY  $W$ ,  $V \cong W \otimes_{\mathbb{C}} E$   
FOR SOME  $E \rightarrow X$ ,  $\text{RANK}_{\mathbb{C}} E = 2$ .

## SPIN<sup>U</sup> STRUCTURES AND GAUGE THEORIES

USE SPIN<sup>U</sup> STRUCTURES TO PROVIDE COMMON FRAMEWORK FOR

- SO(3) YANG-MILLS THEORY
- U(1) MONOPOLES
- SO(3) MONOPOLES (CONTAINS PRECEDING TWO THEORIES)

$$\mathfrak{su}(V) = \{M \in \text{END}_{\mathbb{C}}(V) : M^{\dagger} = -M \text{ AND } \text{TR}(M) = 0\}$$

$$\mathfrak{su}(V^{\pm}) \cong \mathfrak{su}(W^{\pm}) \oplus i\mathfrak{su}(W^{\pm}) \oplus \mathfrak{su}(E) \oplus \mathfrak{su}(E)$$

$$\begin{aligned} V &= V^{+} \oplus V^{-} \\ W &= W^{+} \oplus W^{-} \end{aligned}, \quad \mp 1 \text{ EIGENSPACES OF } P(\text{VOL})$$

- $\det^{\frac{1}{2}}(V^{+}) = \det(W^{+}) \otimes \det(E)$
  - $\mathfrak{g}_{\pm} = \mathfrak{su}(E)$
- CANONICALLY ASSOCIATED TO  $t = (P, Y)$ .

### **SO(3) or PU(2)-monopole equations**

Introduced by Pidstrigatch and Tyurin (December 1994),  
with cobordism idea to prove Witten's conjecture. A pair  
(A, Φ) is a PU(2) monopole if

$$\mathfrak{S}(A, \Phi) = \begin{pmatrix} \text{ad}^{-1}(F_{\hat{A}}^+) - \rho^{-1}(\Phi \otimes \Phi^*)_{00} \\ D_A \Phi \end{pmatrix} = 0,$$

where

- A is a spin connection on V, with  $A|_{\det(V^+)} = 2A_\Lambda$ ,
- $\hat{A}$  is induced connection on SO(3) bundle  $\mathfrak{g}_t \subset \mathfrak{su}(V)$ ,
- $(\Phi \otimes \Phi^*)_{00} \in C^\infty(\rho(\Lambda^+) \otimes \mathfrak{g}_t)$ ,
- $\rho : \Lambda^+ \cong \mathfrak{su}(W^+)$ ,
- $D_A : C^\infty(V^+) \rightarrow C^\infty(V^-)$  is Dirac operator,
- $\Phi \in C^\infty(V^+)$ , where  $V = V^+ \oplus V^-$ .

Moduli space of PU(2) monopoles for  $\mathfrak{t} = (\rho, V)$ :

$$\mathcal{M}_t = \{(A, \Phi) : \mathfrak{S}(A, \Phi) = 0\} / \mathcal{G}_t,$$

where  $\mathcal{G}_t \cong \mathcal{G}_E$  and

$$\mathcal{G}_t = \{u \in \text{Aut}_{\mathcal{C}\ell}(V) : u^\dagger u = 1 \ \& \ \det_{\mathcal{C}\ell}(u) = 1\},$$

$$\mathcal{G}_E = \{v \in \text{Aut}(E) : v^\dagger v = 1 \ \& \ \det(v) = 1\}.$$

### Singularities in PU(2)-monopole space

If  $[A, \Phi]$  is a fixed-point in PU(2) monopole space,  $\mathcal{M}_t$ , under  $S^1$  action given by scalar multiplication on  $V$ , then:

- $(A, \Phi)$  is an *anti-self-dual* solution:

$\Phi \equiv 0$  and  $\hat{A}$  obeys  $F_{\hat{A}}^+ = 0$ , so

$$M_\kappa^w = \{\hat{A} : F_{\hat{A}}^+ = 0\} / \mathcal{G}_E \hookrightarrow \mathcal{M}_t,$$

where  $\kappa = -\frac{1}{4}p_1(t)$  and  $w$  is any integral lift of  $w_2(t)$ .

$$\hookrightarrow := \rho_1(\mathfrak{su}(\mathbb{E}))$$

$$\hookrightarrow := w_2(\mathfrak{su}(\mathbb{E}))$$

- $(A, \Phi)$  is a *Seiberg-Witten* or *reducible* solution:

$A = B \oplus B \otimes A_L$  when  $V = W \oplus W \otimes L$ , some line bundle  $L$ , and  $\Phi = \Psi \oplus 0$  with  $\Psi \in C^\infty(W^+)$ , so

$$\mathfrak{S}(B, \Psi) = \begin{pmatrix} (F_B^+) - \rho^{-1}(\Psi \otimes \Psi^*)_0 - F_{A_L}^+ \\ \kappa - \tau \\ D_B \Psi \end{pmatrix} \stackrel{!}{=} 0.$$

Then  $[B, \Psi] \in M_\mathfrak{s}$ , where  $\mathfrak{s} = (\rho, W)$  and

$$M_\mathfrak{s} = \{(B, \Psi) : \mathfrak{S}(B, \Psi) = 0\} / \mathcal{G}_\mathfrak{s} \hookrightarrow \mathcal{M}_t,$$

where  $A_L = B^{\det} \otimes A_L$  and

$$\mathcal{G}_\mathfrak{s} = \{s \in \text{Aut}_{\mathbb{C}\ell}(W) : s^\dagger s = 1\} \cong \text{Map}(X, S^1).$$

Moreover,  $\hat{A} = d_{\mathbb{R}} \oplus A_L$  on  $\mathfrak{g}_t \cong \mathbb{R} \oplus L$ .

### STRATIFICATION:

$$\mathcal{M}_t \cong M_\kappa^w \sqcup \mathcal{M}_t^{\mathfrak{s}, \sigma} \sqcup \bigsqcup M_\mathfrak{s}$$

↑  $\{s : s \otimes s' = t\}$

↑ IRREDUCIBLE CONN'S NON-ZERO SPINORS

OPEN, SMOOTH  $\subset \mathcal{M}_t$

### Donaldson invariants

Set  $\mathbf{A}(X) = \text{Sym}(H_0(X; \mathbf{R}) \oplus H_2(X; \mathbf{R}))$ , so  $z \in \mathbf{A}(X)$  is a linear sum of monomials

$$x^m \beta_1 \beta_2 \cdots \beta_{\delta-2m},$$

with  $x \in H_0(X; \mathbf{Z})$  positive generator and  $\beta_i \in H_2(X; \mathbf{R})$ . Useful cohomology classes on  $M_\kappa^w$  can be defined via

$$\mu : H_i(X; \mathbf{R}) \rightarrow H^{4-i}(M_\kappa^w; \mathbf{R}).$$

The Donaldson invariant is a linear function

$$D_X^w : \mathbf{A}(X) \rightarrow \mathbf{R},$$

where, for a monomial  $z$  with  $\deg(z) = 2\delta$ ,

$$D_X^w(z) = \langle \mu(z), [M_\kappa^w] \rangle$$

with  $\mu(z) = \mu(x)^m \smile \mu(\beta_1) \smile \cdots \smile \mu(\beta_{\delta-2m})$ .

$X$  has *KM-simple type* if for some  $w$ , and all  $z \in \mathbf{A}(X)$ ,

$$D_X^w(x^2 z) = 4D_X^w(z),$$

One defines the *Donaldson series*:

$$D_X^w(h) = D_X^w((1 + \frac{1}{2}x)e^h), \quad h \in H_2(X; \mathbf{R}).$$

**Theorem 1** (Kronheimer & Mrowka, 1993). *If  $X$  has KM-simple type, then there exist  $a_r \in \mathbf{Q}$  and  $K_r \in H^2(X; \mathbf{Z})$  (the KM-basic classes), so*

$$D_X^w(h) = e^{\frac{1}{2}Q_X(h,h)} \sum_{r=1}^{\delta} (-1)^{\frac{1}{2}(w^2 + w \cdot K_r)} a_r e^{K_r}.$$

**Seiberg-Witten invariants and Witten's conjecture**

$M_s$  is compact manifold with, for  $c_1(s) = c_1(W^+)$ ,

$$\dim M_s = d_s(s) = \frac{1}{4}(c_1(s)^2 - 2\chi - 3\sigma).$$

*Seiberg-Witten invariants:*  $SW_X : \text{Spin}^c(X) \rightarrow \mathbb{Z}$ ,

$$SW_X(s) = \langle \mu(x)^{d_s/2}, [M_s] \rangle$$

where  $\mu : H_0(X; \mathbb{Z}) \rightarrow H^2(M_s; \mathbb{Z})$  defines classes on  $M_s$ .

$X$  has *SW-simple type* if

$c_1(W^+)$

$$SW_X(s) = 0 \quad \text{when} \quad \dim M_s(X) > 0.$$

Call  $c_1(s) \in H^2(X)$  an *SW-basic class* if  $SW_X(s) \neq 0$ .

*Seiberg-Witten series:* For  $h \in H_2(X; \mathbb{R})$ , define

$$SW_X^w(h) = \sum_{s \in \text{Spin}^c(X)} (-1)^{\frac{1}{2}(w^2 + c_1(s) \cdot w)} SW_X(s) e^{\langle c_1(s), h \rangle}.$$

This is a finite sum. Let  $c(X) = -\frac{1}{4}(7\chi + 11\sigma)$ , where  $X$  has Euler characteristic  $\chi$  and signature  $\sigma$ .

**Conjecture 2** (Witten). A 4-manifold  $X$  has KM-simple type if and only if it has SW-simple type; if  $X$  has simple type, the KM and SW basic classes coincide, and

$$D_X^w(h) = 2^{2-c(X)} e^{\frac{1}{2}Q_X(h,h)} SW_X^w(h), \quad h \in H_2(X; \mathbb{R}).$$

## Bubbling and Uhlenbeck compactness

If  $\{A_\alpha, \Phi_\alpha\}_{\alpha \in \mathbb{N}} \subset \bar{\mathcal{M}}_t$ , then it converges to *ideal*  $PU(2)$  monopole  $(A_\infty, \Phi_\infty, \mathbf{x})$  in  $\tilde{M}_{t_\ell} \times \text{Sym}^\ell(X)$  if

- $(A_\alpha, \Phi_\alpha) \rightarrow (A_\infty, \Phi_\infty)$  in  $C^\infty$  on  $X \setminus \{\mathbf{x}\}$ , mod gauge,
- $|F_{A_\alpha}|^2 \rightarrow |F_{A_\infty}|^2 + 8\pi^2 \sum_{x \in \mathbf{x}} \delta(x)$ , as measures.

Let  $\bar{\mathcal{M}}_t$  be closure of  $\mathcal{M}_t$  w.r.t. *Uhlenbeck topology* in

$$\bigsqcup_{\ell=0}^N (M_{t_\ell} \times \text{Sym}^\ell(X)),$$

where  $t_\ell = (\rho, V_\ell)$  with

$$p_1(t_\ell) = p_1(t) + 4\ell,$$

while  $w_2(t_\ell) = w_2(t)$  and  $c_1(t_\ell) = c_1(t)$ .

**Theorem 4** (F-Leness, 1996).  $\bar{\mathcal{M}}_t$  is compact.

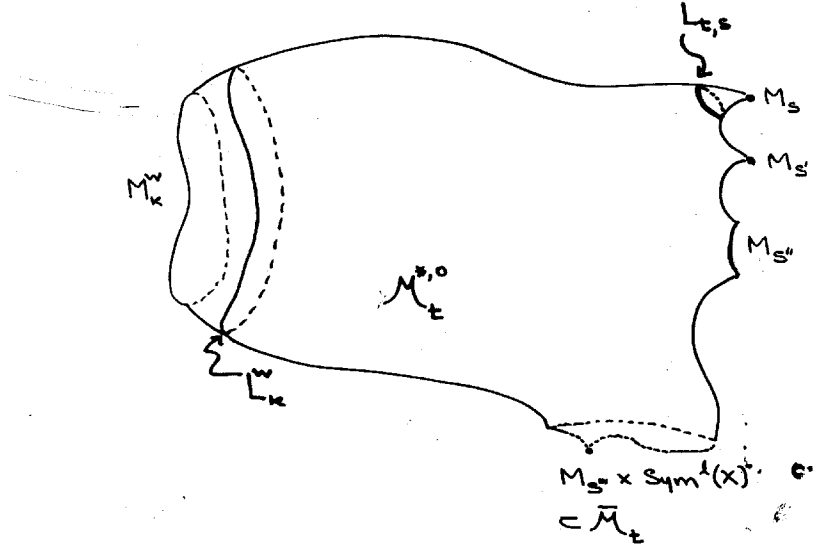
The space  $\bar{\mathcal{M}}_t$  is smoothly stratified, with

- *top or zeroth level*  $\mathcal{M}_t$ , and
- *lower levels*  $M_{t_\ell} \times \text{Sym}^\ell(X)$ ,  $\ell \geq 1$ .

Need analytical tools (“gluing theory”) to understand

- Topology of “tubular neighborhoods” of the boundary strata and singularities, and
- How strata  $M_{t_\ell} \times \Sigma$  fit together, for all  $\Sigma \subset \text{Sym}^\ell(X)$ .

PU(2) - MONOPOLE COBORDISM



$$\mathbb{F}_t = \tilde{\mathcal{G}}_t^{*,0}/S \times \mathcal{L}_t$$

$$\downarrow$$

$$\mathcal{G}_t^{*,0}/S \times X$$

$$\mathbb{L}_t = \mathcal{G}_t^{*,0} \times (S, X-2) \subset$$

$$\downarrow$$

$$\mathcal{G}_t^{*,0}/S$$

$$H_P(\beta) = -\frac{1}{4} R(\mathbb{F}_t)/\beta$$

$$\in H^{4-d}(\mathcal{G}_t^{*,0}/S)$$

$$\beta \in H_0(X)$$

$$\mu_G = c(\mathbb{L}_t)$$

## PU(2)-monopole cobordism formula relating D and SW invariants

– Modulo the (non-trivial) construction of links  $L_{t,s}$ , the cobordism  $\mathcal{M}_t^{*,0}$  yields the raw identity,

$$\begin{aligned} & \langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,\kappa}^w] \rangle \\ &= - \sum_{s \in \text{Spin}^c(X)} \langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,s}] \rangle, \end{aligned}$$

where

$$\text{deg}(z) = d_* = \dim M_\kappa^w \text{ and } d_a + 2n_a = \dim \mathcal{M}_t.$$

- One finds that  $\langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,\kappa}^w] \rangle$  is a multiple of Donaldson invariant,  $D_X^w(z)$ .
- Difficult part is to show  $\langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,s}] \rangle$  is correct multiple of Seiberg-Witten invariant  $SW_X(\mathfrak{s})$ .

APPLICATION OF  $PU(2)$   
 MONOPOLE COBORDISM IN  
 SIMPLEST SITUATIONS

(-1) NO SW MODULI SPACES  
 WITH NON-ZERO  
 INVARIANTS APPEAR IN  $\bar{\mathcal{M}}_t$ :

$$D_X^{sw}(h) \equiv 0 \pmod{h^{c(X)-2}}$$

(0) SW MODULI WITH NON-ZERO  
 INVARIANTS APPEAR IN  $\bar{\mathcal{M}}_t$   
 (TOP LEVEL OR LEVEL 0) ONLY:

WITTEN'S FORMULA  
 HOLDS  $\pmod{h^{c(X)}}$ .

\* (1)  $M_3 \times X \subset \bar{\mathcal{M}}_t$ ,  $SW_X(S) \neq 0$

WITTEN'S FORMULA  $\pmod{h^{c(X)+2}}$

(2)  $M_3 \times \text{Sym}^l(X) \subset \bar{\mathcal{M}}_t$ ,  $SW_X(S) \neq 0$

WITTEN'S FORMULA  $\pmod{h^{c(X)+2l}}$

## APPLICATION OF COBORDISM IN SIMPLEST CASES

$$(M_3 \times \text{Sym}^l(X) \subset \bar{M}_l, l=0,1,2)$$

IMPOSES CONSTRAINTS

ON 4-MANIFOLDS, SO THAT  
COBORDISM YIELDS

USEFUL RESULTS:

$$B = \{ \text{SW-BASIC CLASSES} \}$$

$$\subset H^2(X, \mathbb{Z})$$

$$B^\perp = \mathbb{Q}_X\text{-ORTHOGONAL}$$

COMPLEMENT OF  $B$

A FOUR-MANIFOLD  $X$  IS  
ABUNDANT IF  $\mathbb{Q}_X/B^\perp$   
CONTAINS HYPERBOLIC  
SUBLATTICE,  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

THEOREM EVERY COMPACT  
COMPLEX ALGEBRAIC SIMPLY  
CONNECTED SURFACE,  $g_2 \geq 3$ , ABUNDANT.

## "LEVEL-ZERO" CASE

SW MODULI SPACES WITH  
NON-ZERO INVARIANTS ONLY  
APPEAR IN TOP LEVEL,  $\mathcal{M}_t$ ,  
OF  $\bar{\mathcal{M}}_t \subset \coprod_{l \geq 0} (\mathcal{M}_{t-l} \times \text{Sym}^l(X))$ .

THEOREM ASSUME  $X$  HAS  
SW-SIMPLE TYPE, ABUNDANT,  
 $b_1 = 0$ , ODD  $b_2^+ > 1$ ,  $\Delta \in B^+$   
EXISTS WITH  $\Delta^2 = 2 - i(2g + \sigma)$ ,  
 $\omega \in H^2(X, \mathbb{Z})$  WITH  $\omega \cdot \Delta \equiv \omega_2(X)$   
(mod 2). FOR ALL  $h \in H_2(X; \mathbb{R})$ ,

$$\begin{aligned} * \mathcal{D}_X^{\omega} (h) &\equiv 0 \equiv \text{SW}_X^{\omega} (h) \pmod{h^{c(X)-2}} \\ \mathcal{D}_X^{\omega} (h) &\equiv 2^{2-c(X)} e^{\pm Q_X(h,h)} \text{SW}_X^{\omega} (h) \pmod{h^{c(X)}} \end{aligned}$$

\* JOINT W/ KRONHEIMER - MROWKA

"LEVEL-ONE" CASE

ALLOW

$$M_S \times \text{Sym}^l(X) \subset \mathcal{M}_{g,1}$$

WITH  $\text{SW}_X(S) \neq 0$  ONLY IF  
 $l=0$  OR  $l=1$ .

THEOREM SAME HYPOTHESES  
AS BEFORE, EXCEPT ASSUME  
 $\Delta \in B^+$  HAS  $\Delta^2 = 4 - (X + \sigma)$ ,  
FOR ALL  $h \in H_2(X; \mathbb{R})$ ,

$$\mathbb{D}_X^{SW}(h) \equiv 2^{2-c(X)} e^{\frac{1}{2} Q_X(h,h)} \text{SW}_X(h) \pmod{h^{c(X)+2}}$$

REMARK: LAST CASE CAN  
DO BY DIRECT CALCULATION,  
"l=2", GIVES WITTEN'S  
FORMULA MOD  $h^{c(X)+4}$ , WITH  
 $\Delta^2 = 6 - (X + \sigma)$ .

### A strategy to prove Witten's conjecture

1. PU(2)-monopole cobordism, with gluing construction of links  $L_{t,s}$  of  $M_s \times \text{Sym}^\ell(X)$  in  $\mathcal{M}_t$  yields

$$D_X^w(z) = 2^{2-c(X)} \sum_{s \in \text{Spin}^\ell(X)} (-1)^{\frac{1}{2}(w^2 + c_1(s) \cdot w)} \times \langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,s}] \rangle.$$

2. Pairings with  $L_{t,s}$  *hard to compute directly* when  $\ell = \ell(t, s) > 2$ . Instead try to show:

- Pairings

$$\langle \mu_{p_1}(z) \smile \mu_{c_1}^{n_a-1}, [L_{t,s}] \rangle$$

are universal polynomials in  $Q_X$ ,  $\langle c_1(s), \cdot \rangle$  and  $\langle \Lambda, \cdot \rangle$ , with coeffs depending on  $SW_X(s)$ ,  $c_1(s)$ ,  $\Lambda$ ,  $\chi$ ,  $\sigma$ .

- Above is already enough to prove

Seiberg-Witten  $\implies$  Donaldson.

3. We expect our universal, but non-explicit formula implies *Witten's formula* via supplementary arguments,
- Known Donaldson and Seiberg invariants of sufficiently many examples,
  - Recursion relations among coefficients.

## Formulas for D invariants due to SW moduli spaces in arbitrary lower levels

More generally, our construction of links and a push-forward/integration-over-fibers argument yields

**Conjecture 11** (F-Leness, March 1999). For  $\Lambda, w$  in  $H^2(X; \mathbb{R})$  with  $w - \Lambda \equiv w_2(X) \pmod{2}$ , and all  $h \in H^2(X; \mathbb{R})$ ,

$$D_X^w(h^d) = 2^{2-c(X)} \sum_{\mathfrak{s} \in \text{Spin}^c(X)} (-1)^{\frac{1}{2}(w^2 + c_1(\mathfrak{s}) \cdot w)} SW_X(\mathfrak{s}) \\ \times \sum_{i=0}^m \delta_i(\langle c_1(\mathfrak{s}), h \rangle, \langle \Lambda, h \rangle) Q_X^{\ell-i}(h, h),$$

where  $\ell = \ell(c_1(\mathfrak{s}), \Lambda)$  and  $m(\ell, d) = \min(\ell, [d/2])$  above. The functions  $\delta_i$  are homogeneous polynomials in two variables, whose coefficients are polynomials in  $2\chi \pm 3\sigma$ ,  $(c_1(\mathfrak{s}) - \Lambda)^2$ ,  $\Lambda^2$ , and  $(c_1(\mathfrak{s}) - \Lambda) \cdot c_1(\mathfrak{s})$ . A similar formula holds for the invariants  $D_X^w(x^m h^{d-2m})$ ,  $0 \leq m \leq [d/2]$ .

**Remark 12.** One sees a factorization of the pairings

$$\langle \mu_{p_1}(z) \cup \mu_{c_1}^{\delta_{c_1}}, [\mathbf{L}_{t, \mathfrak{s}}] \rangle$$

into product of  $SW_X(\mathfrak{s})$  and  $\delta = \sum_{i=1}^m \delta_i Q_X^{\ell-i}$ .

For  $M_{\mathfrak{s}}$  a single point and  $M_{\mathfrak{s}} \hookrightarrow \mathcal{M}_{t_{\ell}}$  a smooth submanifold (no obstructions), the SW link pairings have (essentially) same structure as those in wall-crossing terms for Donaldson invariants when  $b_2^+(X) = 1$ .

IDEAS UNDERLYING PROOF  
OF WITTEN'S FORMULA  
USING COBORDISM:

WE'LL DESCRIBE HOW  
TO COMPUTE PAIRINGS

$$\langle M_p(z) \cup M_c^{n_g-1}, [L_{t,s}] \rangle.$$

THE SW CONTRIBUTIONS,  
WHEN LEVEL  $[l=1]$ , AND  
ONE SHOULD BE ABLE  
TO GENERALIZE TO  
ARBITRARY  $[l \geq 0]$ .

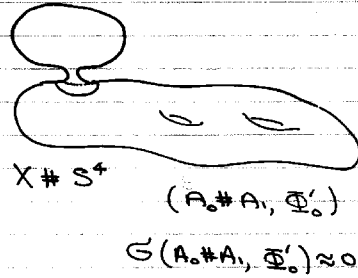
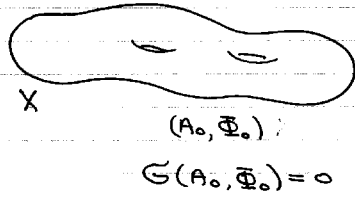
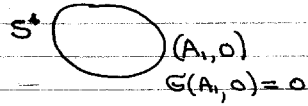
## TOPOLOGICAL MODELS FOR LINKS OF SEIBERG-WITTEN STRATA

- USE GLUING THEORY  
TO CONSTRUCT  
TOPOLOGICAL MODEL  
FOR NEIGHBORHOOD OF,  
SEIBERG-WITTEN STRATUM

$$M_s \times \text{Sym}^l(X) \subset \bar{\mathcal{M}}_g$$

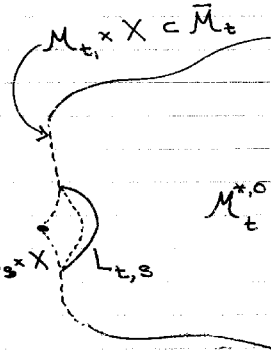
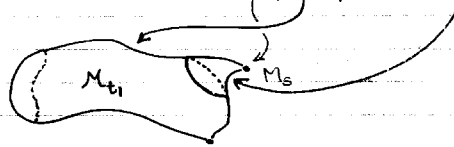
- GIVEN TOPOLOGICAL  
MODEL FOR LINK  $\mathbb{L}_{t,s}$   
OF  $M_s \times \text{Sym}^l(X)$ , CAN  
THEN TRY TO APPLY  
STANDARD METHODS OF  
INTERSECTION THEORY

# ONE-BUBBLE GLUING



$$p(t_1) = p(t) + 4$$

$$[A_0, \Phi_0] \in N(M_s, M_{t_1}) \cong T(M_s, M_{t_1}) \in \mathcal{M}_{t_1}^{\epsilon}$$



$$N_{t,s} \times_{\partial_s} \bar{G}_{t_1}(s)$$

$\downarrow$

$$M_s \times X$$

GLUING MAP



GLUING MODEL FOR  
NEIGHBORHOOD OF  $M_s \times \text{Sym}^d(X)$

$$M_{t,s}^{\text{vir}} = \tilde{N}_{t,s}^{(E)} \times_{\mathcal{G}_s} \text{Gl}_{t,t}(s)$$

$$N_{t,s} \rightarrow M_s$$

"VIRTUAL" NORMAL  
BUNDLE OF  
 $M_s \subset M_{t,t}$

$$\tilde{E}_{t,s} \rightarrow M_s$$

"OBSTRUCTION"  
BUNDLE FOR  
 $M_s \subset M_{t,t}$ .

$$[N_{t,s}] - [\tilde{E}_{t,s}] \in K(M_s)$$

$$M_s \times \text{Sym}^d(X) \subset M_{t,t} \times \text{Sym}^d(X) \\ \subset \bar{M}_t$$

$N_{t,s}$  WOULD BE TRUE  
NORMAL BUNDLE IF  
 $M_s \subset M_{t,t}$  SUBMANIFOLD.

# UHLENBECK COMPACTIFICATION OF GLUING MODEL

$$M_1^{s,*}(S^+, \delta) \cong (0, \delta] \times SO(3)$$

$$\begin{aligned} \overline{M}_1^{s,*}(S^+, \delta) &= M_1^{s,*} \cup \{N\} \times [\ominus] \\ &\cong c(SO(3)) \quad (\text{CONE}) \end{aligned}$$

$$\overline{GL}_{t_1}(\delta) = GL_{t_1}(\delta) \text{ WITH } \overline{M}_1^{s,*}(S^+, \delta) \text{ IN PLACE OF } M_1^{s,*}(S^+, \delta)$$

$$\overline{M}_{t,s}^{vir} = \bigcup_{t_1, s} \times_{\partial_s} \overline{GL}_{t_1}(\delta) \quad \dots$$

$$\overline{GL}_{t_1}(\delta) = GL_{t_1}(\delta) \cup X$$

MODEL STRATIFICATION:

$$\overline{M}_{t,s}^{vir} = M_{t,s}^{vir} \cup (N_{t,s}(\epsilon) - M_s) \times X \cup M_s \times X$$

NEXT STEP IS CONSTRUCTION  
OF LINK,  $\mathbb{L}_{t,s}$ , OF  $M_s \times X$ .

( $M_s \times \text{Sym}^l(X)$ , IN GENERAL)

# LINK OF SEIBERG-WITTEN STRATUM

SW COMPONENT OF MODEL, "VIRTUAL"  
LINK:

$$\mathbb{L}_{t,s}^{s, \text{vir}} = (\partial \tilde{N}_{t,s}(\varepsilon) \times_{\partial_t \partial_s} \overline{GL}_{t,s}(\delta)) / S^1$$

INSTANTON COMPONENT OF  
MODEL, "VIRTUAL" LINK:

$$\mathbb{L}_{t,s}^{i, \text{vir}} = (\tilde{N}_{t,s}(\varepsilon) \times_{\partial_t \partial_s} \partial \overline{GL}_{t,s}(\delta)) / S^1$$

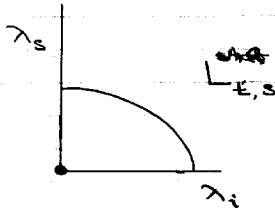
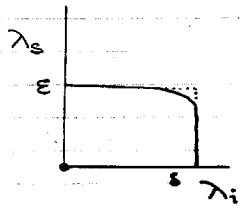
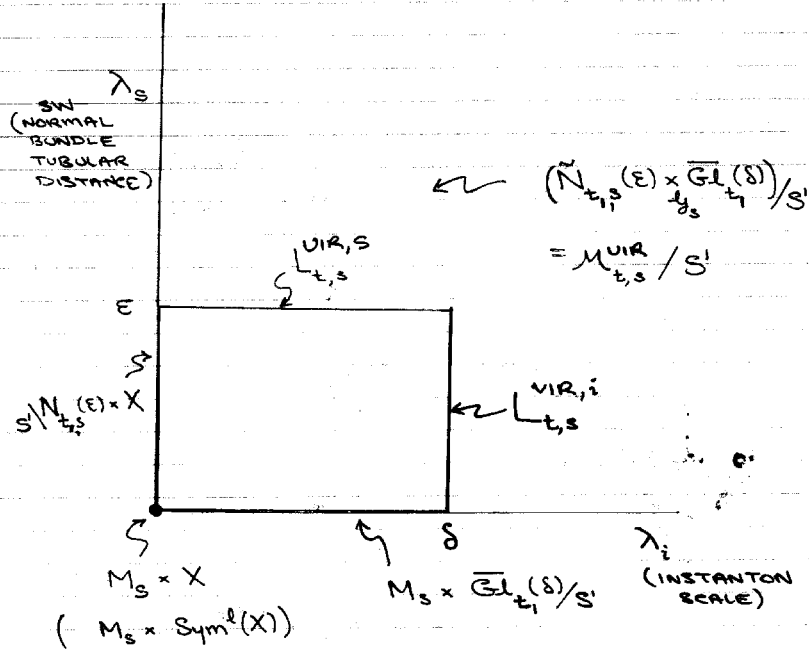
"VIRTUAL" LINK OR LINK OF  
 $M_s \times \text{Sym}^d(X) \subset \overline{\mathcal{M}}_{t,s}^{\text{vir}} / S^1$ :

$$\mathbb{L}_{t,s}^{\text{vir}} = \mathbb{L}_{t,s}^{\text{vir}, i} \cup \mathbb{L}_{t,s}^{\text{vir}, s}$$

LINK OF  $M_s \times \text{Sym}^d(X) \subset \overline{\mathcal{M}}_t / S^1$ :

$$\begin{aligned} \mathbb{L}_{t,s} &= \gamma(\chi^{-1}(0) \cap \mathbb{L}_{t,s}^{\text{vir}}) \\ &= (\overline{\mathcal{M}}_t / S^1) \cap \gamma(\mathbb{L}_{t,s}^{\text{vir}}) \end{aligned}$$

# Model SW Link



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# "INSTANTON COMPONENT" OF GLUING MODEL:

$$\boxed{l=1}$$

$$GL_{\pm l}(\delta) = \frac{(Fr(\delta_{\pm l}) \times_X Fr(T^*X) \times M_{l, \#}^{S^4, \delta})}{SO(3) \times SO(4)}$$

$M_{l, \#}^S(S^4)$ : MODULI SPACE OF  
 $l$ -INSTANTONS ON  $S^4$ ,  
FRAMED AT S-POLE

$M_{l, \#}^{S^4, \delta}(S^4, \delta)$ :  $\# \sim$  CENTER OF MASS  
AT N-POLE.

$\delta \sim$  SCALE  $\in \mathbb{R}$

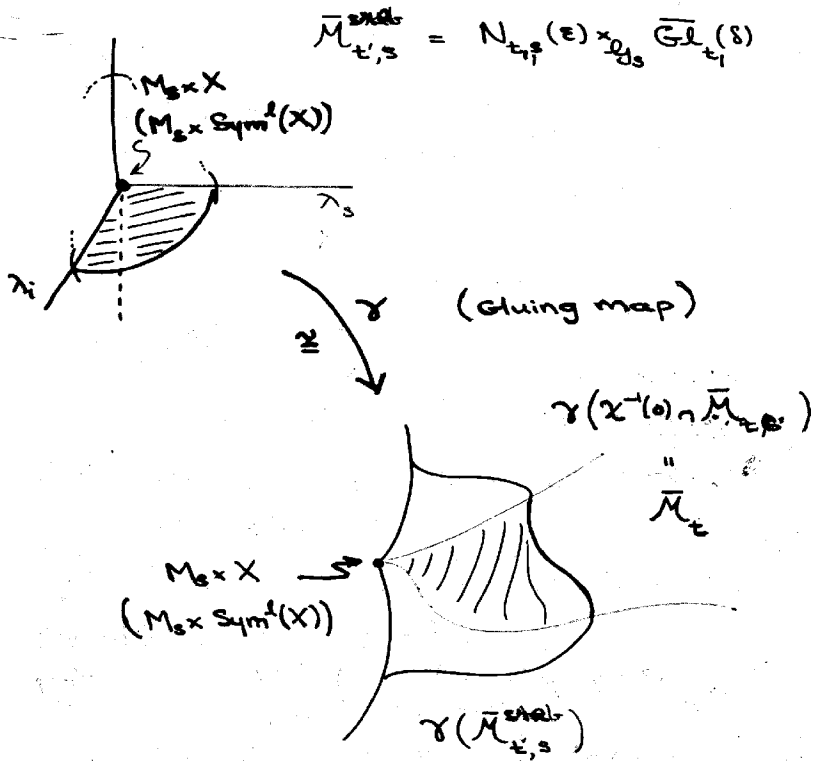
$$\boxed{l \geq 1}$$

$GL_{\pm l}(\delta, \Sigma) \rightarrow \Sigma$ , BUNDLE WITH  
INSTANTON  
 $\Sigma \subset \text{Sym}^l(X)$  A MODULI FIBERS

SMOOTH STRATUM.

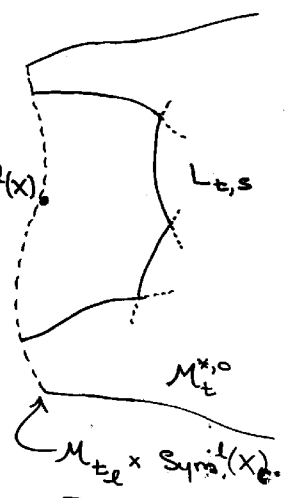
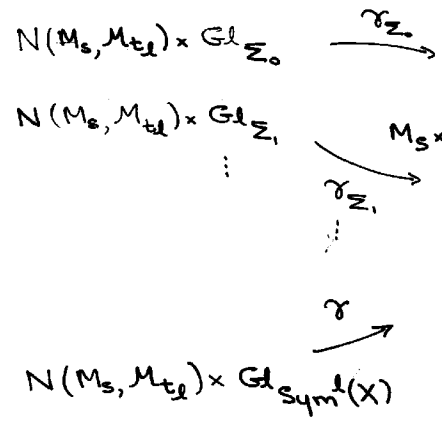
$$GL_{\pm l}(\delta) \sim \bigcup_{\Sigma \subset \text{Sym}^l(X)} GL_{\pm l}(\delta, \Sigma) \rightarrow \text{Sym}^l(X)$$

# Effect of the gluing map



GLUING FOR LEVEL  $l$

$$\text{Sym}^l(X) \cong \coprod_{\Sigma} \dots$$



(ASSEMBLED FROM DIFFERENT  $\gamma_{\Sigma}$ )

$$M_{t,l} \times \text{Sym}^l(X) \subset \bar{M}_t$$

$$P_l(t_l) = P_l(t) + 4l$$

EG.,  $\text{Sym}^2(X) = \Sigma_0 \cup \Sigma_1$ ,  $\Sigma_0 = \{2 \text{ distinct pts}\}$   
 $\Sigma_1 = \{1 \text{ pt mult } 2\}$   
 $= \text{DIAG}(X \times X)$

## CONTRIBUTION OF SW

### CALCULATION:

$$\langle \gamma^* \mu_p(z) \cup \gamma^* \mu_c^* \cup e_s \cup e_i, [\mathbb{L}_{t,s}^{\text{vir}}] \rangle$$

$e_s, e_i$ : EULER CLASSES OF SW, INSTANTON COMPONENTS OF OBSTRUCTION BUNDLE OVER  $\mathcal{M}_{t,s}^{\text{vir}}$ .

ARGUMENT INVOLVING THOM CLASSES EXPRESSES PAIRING WITH  $[\mathbb{L}_{t,s}^{\text{vir}}]$  AS PAIRING WITH  $[M_s \times \partial \overline{\mathcal{G}}_{t,l}(\delta)/S']$

PAIRING WITH  $[M_s \times \partial \overline{\mathcal{G}}_{t,l}(\delta)/S']$

$$\Leftrightarrow \begin{cases} \text{PAIRINGS WITH } [M_s] \Leftrightarrow \text{SW}_X(S) \\ * \text{PAIRINGS}^* \text{ WITH } [\partial \overline{\mathcal{G}}_{t,l}(\delta)/S'] \end{cases}$$

\* DIFFICULT BY DIRECT CALCULATION WHEN  $l \geq 3$ .

## REMARKS

A KEY REMAINING POINT  
IS TO COMPUTE PAIRINGS  
WITH  $[\mathcal{D}G_{\pm l}(8)]$  FOR  $l \geq 1$ .

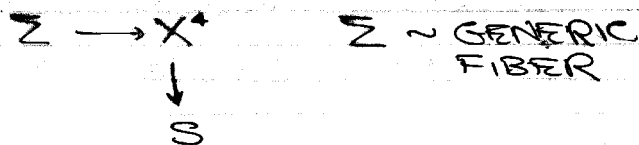
- EXPLOITING KNOWN RESULTS  
FOR D, SW SERIES OF  
ALGEBRAIC SURFACES

FOR EXAMPLE, WHEN  $l=1$ ,  
ONLY 3 PAIRINGS NEEDED.  
INDEPENDENT OF WHETHER  
X "SIMPLE TYPE" OR NOT:  
UNIVERSAL.

- ANALOGUES OF GÖTTSCHE-  
KOTSCHICK-MORGAN WALL-  
CROSSING FORMULAS FOR  
D INVARIANTS WHEN  $b_2^+ = 1$ .

HIGHER-DIM FAMILIES (OF  
METRICS, ...) WHEN  $b_2^+ > 1$ ?

• LEFSCHETZ FIBRATIONS? ■



TOY MODEL:  $X = \Sigma \times S$

- $SO(3)$ -INSTANTONS OVER  $\Sigma \times S$   
 $\sim$  HOLOMORPHIC MAPS,  $S \rightarrow M_\Sigma$ ,  
 $M_\Sigma =$  MODULI SPACE OF FLAT  
 $SO(3)$  CONNECTIONS ON  $\Sigma$
- SW  $U(1)$ -MONOPOLES OVER  $\Sigma \times S$   
 $\sim$  HOLOMORPHIC MAPS,  $S \rightarrow \dot{M}_{\Sigma, d}^*(J, \tau)$   
 $M_{\Sigma, d}(J, \tau) =$  MODULI SPACE OF  
 VORTICES ON  $L \rightarrow \Sigma$ ,  
 $d = \langle c_1(L), [\Sigma] \rangle$ ,
- PAIRS  $(C, \varphi) \in \mathcal{A}_L \times C^\infty(L)$
- $SO(3)$ -MONOPOLES OVER  $\Sigma \times S$   
 $\sim$  HOLOMORPHIC MAPS FROM  $S$   
 INTO MODULI SPACE OF  
 NON-ABELIAN VORTICES ON  $\Sigma$ .

ADDITIONAL IDENTIFICATIONS  
CAN BE ACHIEVED VIA

- ADIABATIC LIMIT ANALYSIS,

$$\mathfrak{g} = \mathfrak{g}_{\Sigma} \oplus \mathfrak{g}_{S} \quad \text{ON } \Sigma \times S$$

$$\mapsto \mathfrak{g}_{\epsilon} = \epsilon^2 \mathfrak{g}_{\Sigma} \oplus \mathfrak{g}_{S}, \quad \epsilon \rightarrow 0.$$

OR

- RESTRICTION OF STABLE,  
HOLIC BUNDLES OVER  $\Sigma \times S$   
TO  $\Sigma \times \{z\}$ ,  $z \in S$  VARIES.

MANY POINTS TO ADDRESS:

- RELATIONSHIP BETWEEN  
COMPACTIFICATIONS?
- EXTENSION OF PICTURE  
FOR  $\Sigma \times S$  TO
  - NON TRIVIAL SURFACE BUNDLES
  - LEFSCHETZ FIBRATIONS?