

# **Invariants of Legendrian Knots and Links**

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Introductory definitions:  $M^3$  compact.

*Contact form* on  $M$ : 1-form  $\alpha$  such that

$$\alpha \wedge d\alpha \neq 0$$

everywhere on its domain.

*Contact structure* on  $M$ : a 2-plane distribution on  $M$  given locally by  $\ker \alpha$ , for some contact form  $\alpha$ .

*Contact manifold*: a manifold with a contact structure.

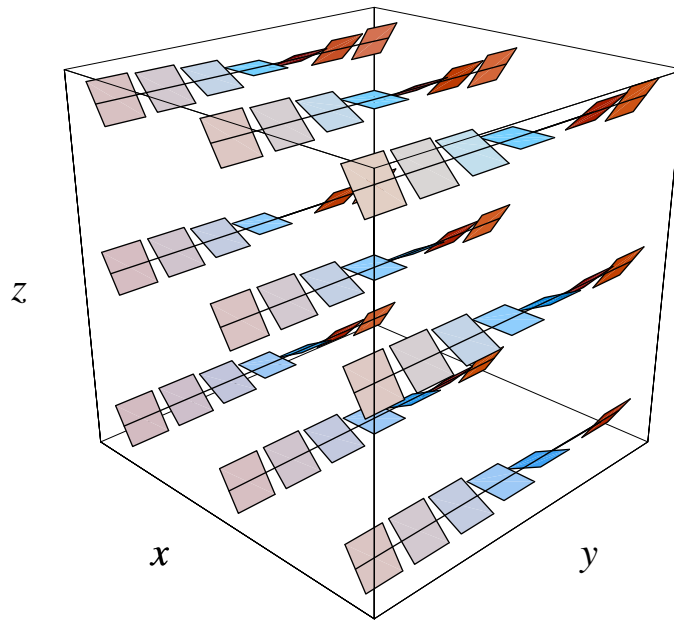
*Legendrian (transversal) knot/link* in a contact manifold: must be everywhere *tangent* (*transverse*) to the contact structure; i.e.,  $\alpha$  vanishes identically (never vanishes) along the knot/link.

*Legendrian isotopic*: two knots/links which have an isotopy of Legendrian knots/links between them.

*Standard contact form* on  $\mathbb{R}^3$ :

$$\alpha = dz - y dx.$$

Then  $\alpha \wedge d\alpha = dx \wedge dy \wedge dz$ .



The contact structure on  $\mathbb{R}^3$  given by  $\ker \alpha$ .

By Darboux's Theorem, any contact manifold locally looks like standard contact  $\mathbb{R}^3$ .

*Main question:* How do we determine when two Legendrian knots/links in standard contact  $\mathbb{R}^3$  are Legendrian isotopic?

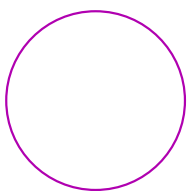
Two ways to depict Legendrian knots in standard contact  $\mathbb{R}^3$ .

*Lagrangian projection:* project to  $\mathbb{R}_{xy}^2$ . Recover third coordinate by setting  $z = \int y dx$ .

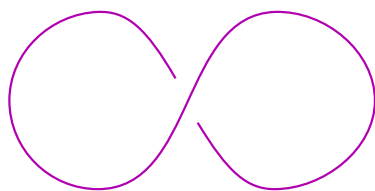
*Front projection:* project to  $\mathbb{R}_{xz}^2$ . Recover third coordinate by setting  $y = dz/dx$ .

Problem with Lagrangian ( $xy$ ) projection: not easy in general to tell when a knot diagram is the Lagrangian projection of a Legendrian knot.

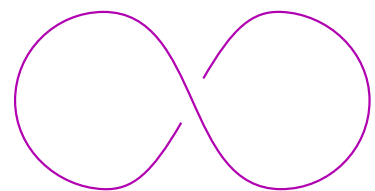
- Signed area  $\oint y dx$  must be zero.
- Inequalities on areas in knot diagram, resulting from over/undercrossing information.



no



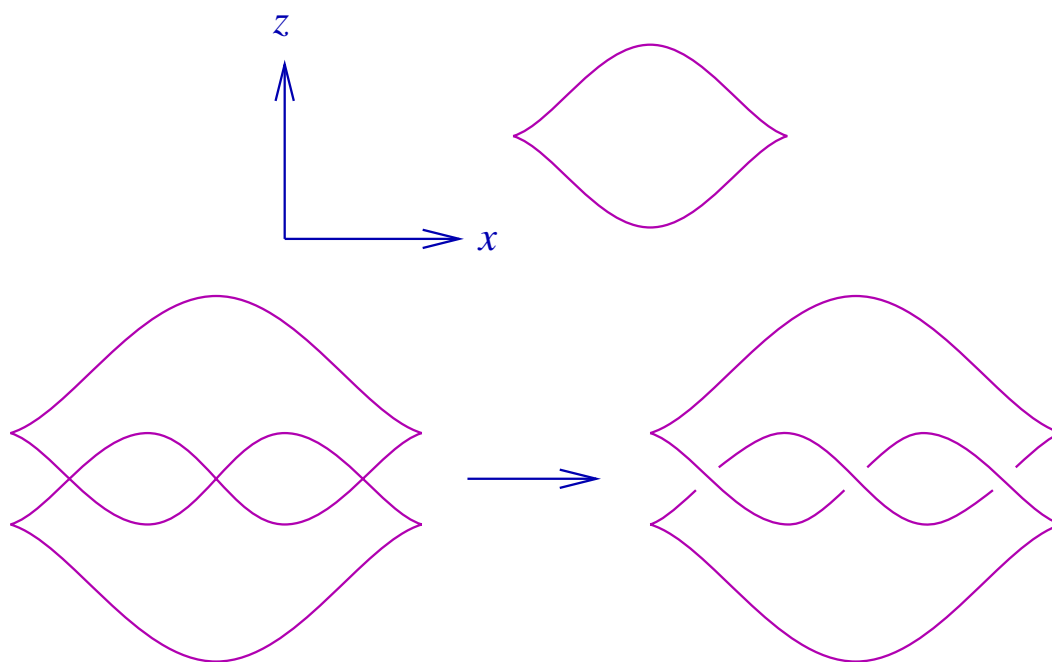
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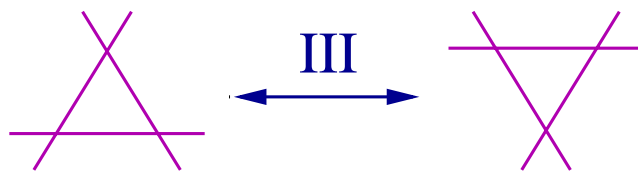
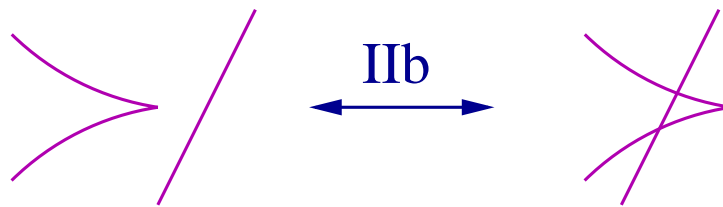
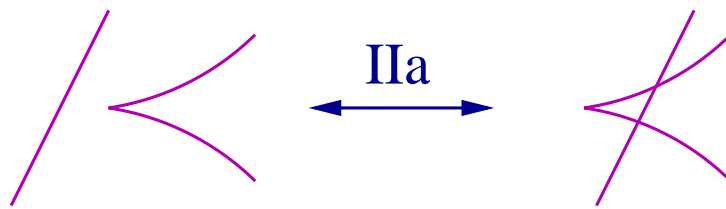
yes

For front ( $xz$ ) projection: since  $y = dz/dx$ , no vertical tangencies are allowed. Instead, use cusps to change direction in  $x$ .

No need to specify over/undercrossing information at crossings; the strand with the *lower* slope goes *over* the other strand.



The “flying-saucer” unknot and the trefoil.

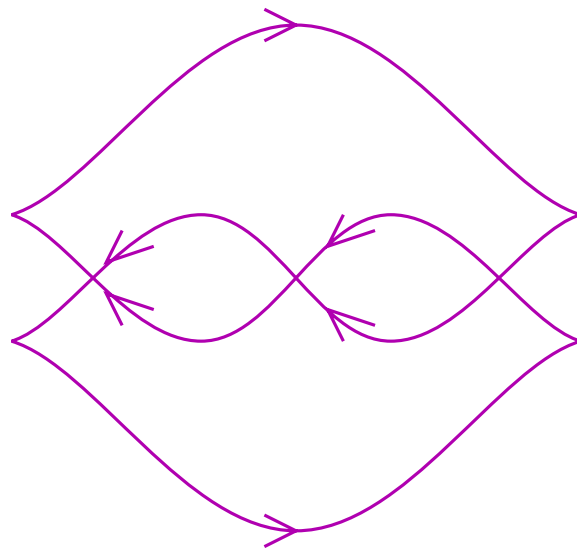


The Legendrian Reidemeister moves, relating the fronts of any Legendrian-isotopic knots.

Two “classical” invariants of Legendrian knots in standard contact  $\mathbb{R}^3$ : *Thurston-Bennequin number* and *rotation (Maslov) number*.

$$tb = \# \begin{array}{c} \diagup \\ \times \\ \diagdown \end{array} + \# \begin{array}{c} \diagdown \\ \times \\ \diagup \end{array} - \# \begin{array}{c} \diagup \\ \times \\ \diagdown \end{array} - \# \begin{array}{c} \diagdown \\ \times \\ \diagup \end{array} - \# \begin{array}{c} \diagup \\ \diagdown \end{array}$$

$$r = \frac{1}{2} \left( \# \begin{array}{c} \diagdown \\ \diagup \end{array} + \# \begin{array}{c} \diagup \\ \diagdown \end{array} - \# \begin{array}{c} \diagdown \\ \diagup \end{array} - \# \begin{array}{c} \diagup \\ \diagdown \end{array} \right)$$



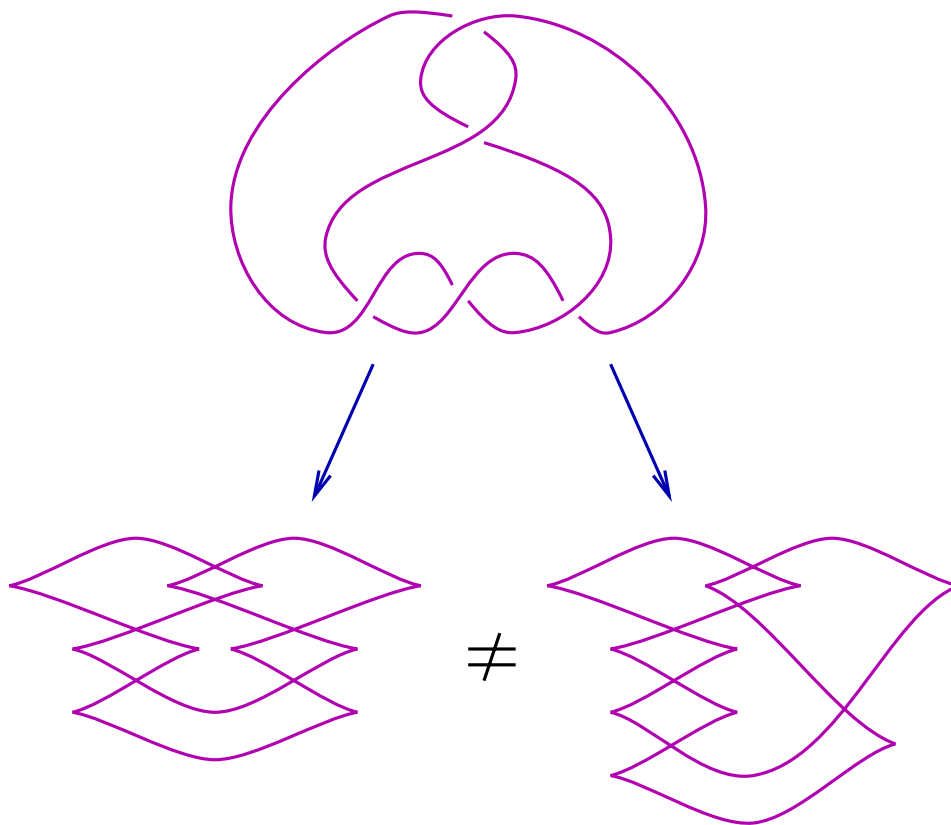
example:  $tb = 1; r = 0$

$tb, r$  form a *complete set of invariants* for some specific knots:

- unknot (Eliashberg-Fraser)
- torus knots, figure 8 knot (Etnyre-Honda)

*Not true in general!*

Chekanov, Eliashberg:  $5_2$  knot



New invariant uses *relative contact homology* (Eliashberg-Givental-Hofer).

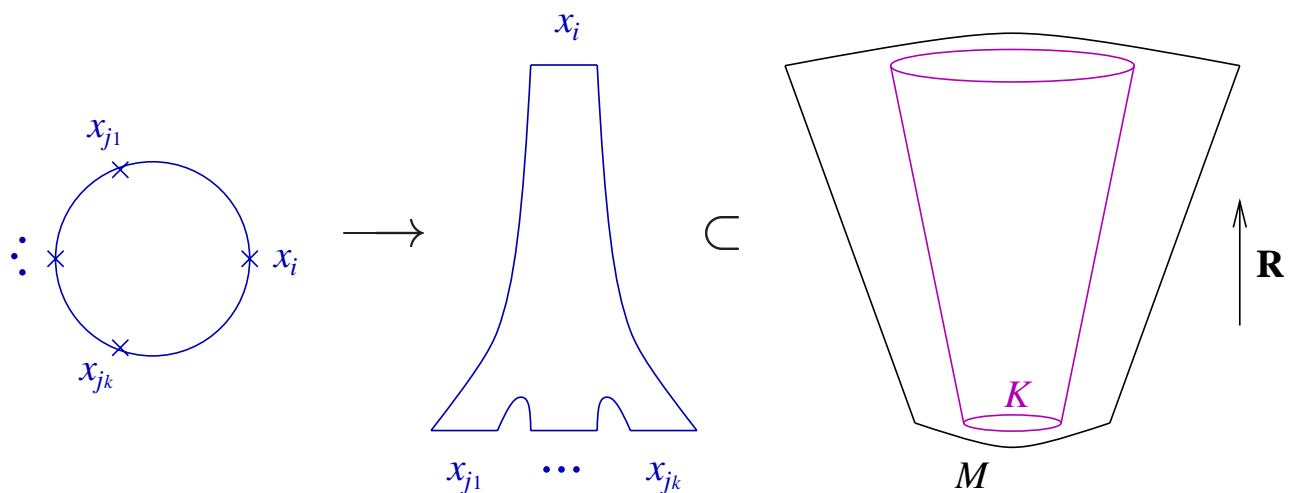
$M \times \mathbb{R}$  = symplectization of  $(M^3, \alpha)$ , with symplectic form  $d(e^t \alpha)$ , and a “nice” compatible almost complex structure.

$K \subset M$  Legendrian  $\rightsquigarrow K \times \mathbb{R} \subset M \times \mathbb{R}$ .

Label the Reeb chords for  $K$  by  $x_1, \dots, x_n$ ; for standard contact  $\mathbb{R}^3$ , the Reeb chords correspond to crossings in the Lagrangian projection of  $K$ .

Define  $A$  to be the free noncommutative algebra over  $\mathbb{Z}/2$  generated by  $x_1, \dots, x_n$ . The invariant is  $A$ , along with a grading and a differential  $\partial$ .

Define  $\mathcal{M}^{x_i}_{x_{j_1} \dots x_{j_k}}$  to be the moduli space of holomorphic maps from the punctured disk to  $\mathbb{R}^3 \times \mathbb{R}$  of the form shown, where the punctures are mapped to Reeb chords  $x_i, x_{j_1}, \dots, x_{j_k}$ , and the boundary of the disk is mapped to  $K \times \mathbb{R}$ .



Differential on  $A$  is given by Leibniz rule and

$$\partial x_i = \sum_{\dim \mathcal{M}=0+1} (\#) x_{j_1} \cdots x_{j_k}.$$

Contact homology still difficult to compute in general, but this *relative* case has a nice combinatorial interpretation, due to Chekanov.

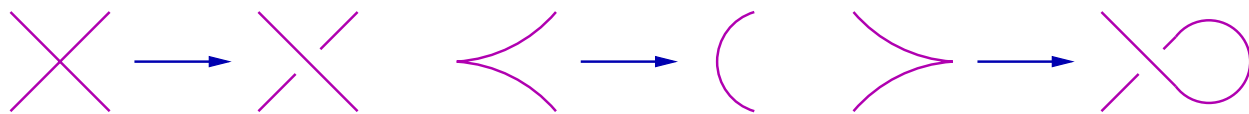
*Chekanov-Eliashberg differential graded algebra (DGA):*

nonclassical invariant of Legendrian knots/links in standard contact  $\mathbb{R}^3$

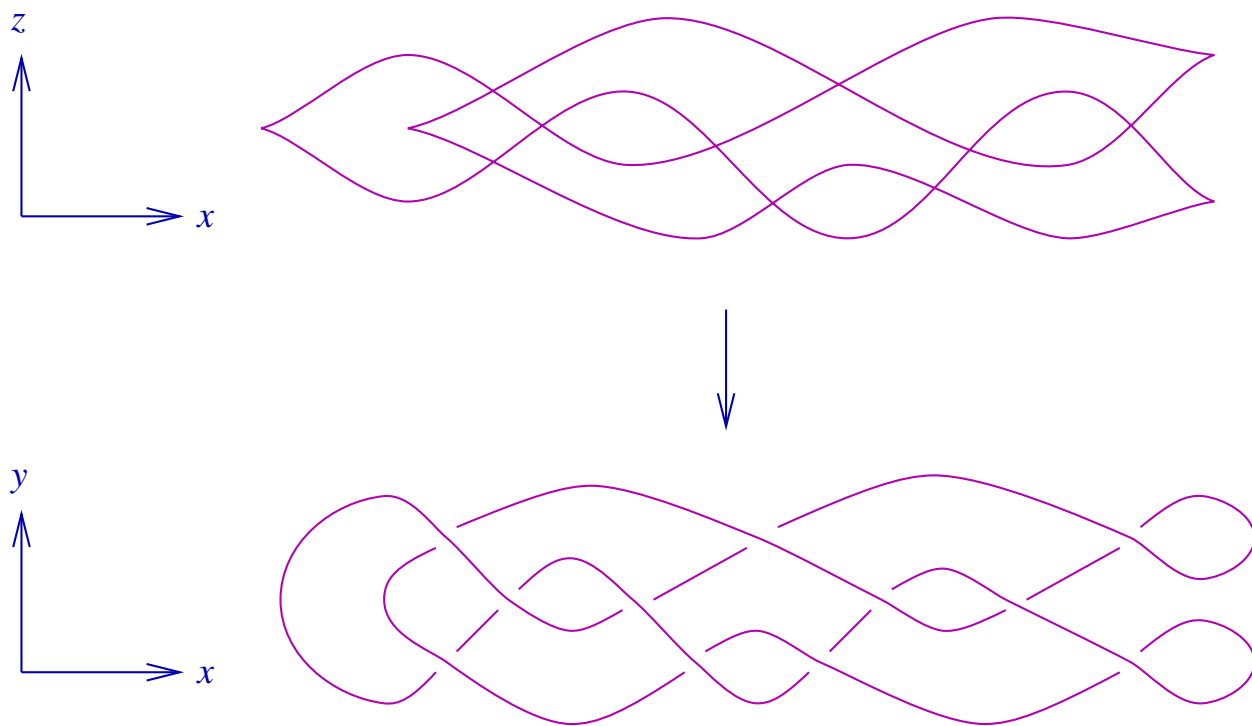
- Chekanov: original definition of DGA over  $\mathbb{Z}/2$ , graded over  $\mathbb{Z}/(2r(K))$ , in Lagrangian projection
- Etnyre, Sabloff, —: extension of DGA over  $\mathbb{Z}[t, t^{-1}]$ , graded over  $\mathbb{Z}$
- —: reformulation of DGA in front projection

We define the DGA  $(A, \partial)$  for a “simple” front-projected Legendrian knot.

$A$  = free noncommutative algebra generated by *crossings* and *right cusps* of a front-projected Legendrian knot.

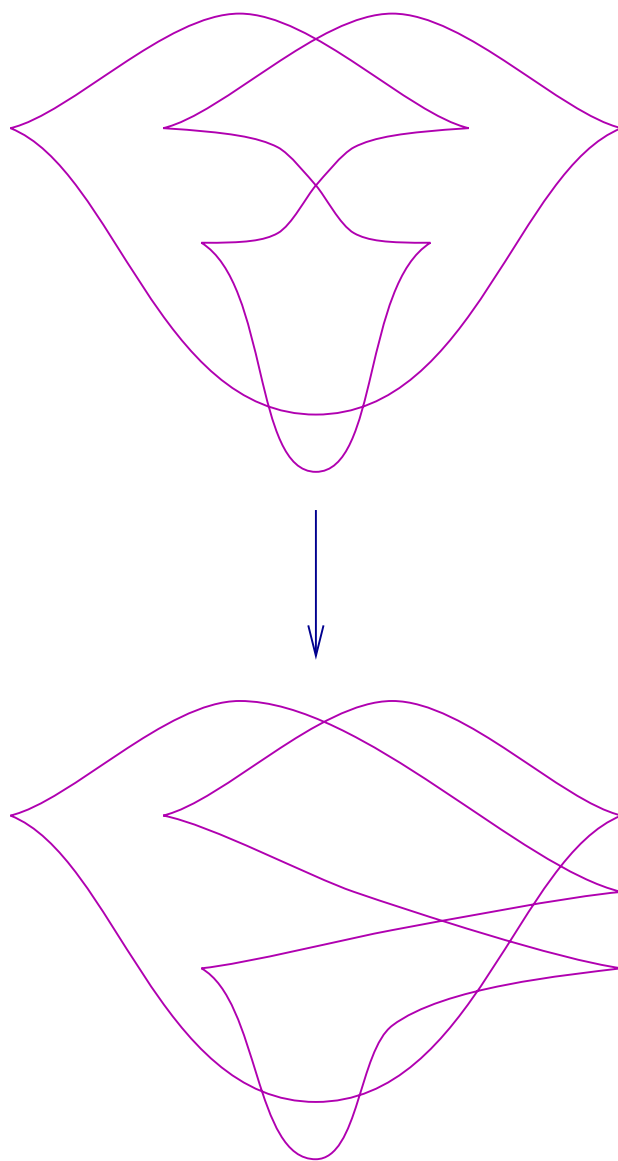


“Resolution” from front projection to Lagrangian projection.



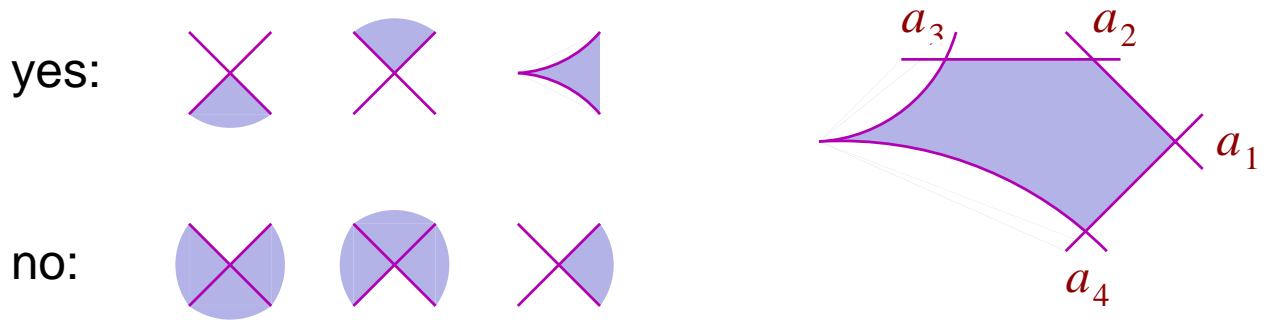
An example of resolution.

*Simple front:* a front, all of whose right cusps have the same  $x$  coordinate.

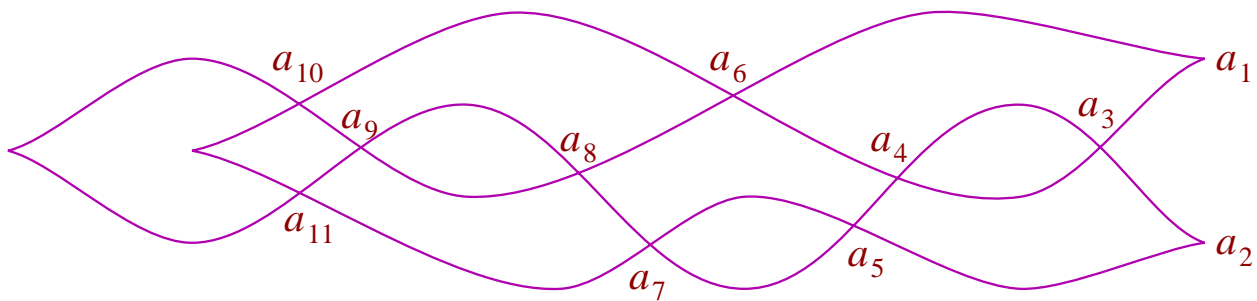


Turning a front into a simple front.

Differential  $\partial a_i$  counts embedded disks with boundary on the front and rightmost point at  $a_i$ .



$$\partial a_1 = \begin{cases} a_2 a_3 a_4 + \dots & \text{if } a_i \text{ is a crossing} \\ 1 + a_2 a_3 a_4 + \dots & \text{if } a_i \text{ is a right cusp} \end{cases}$$



Example:

$$\begin{aligned} \partial a_2 &= 1 + a_3 + a_3 a_6 a_{10} + a_3 a_{11} a_7 \\ \partial a_3 &= 0 \\ \partial a_6 &= a_{11} a_8 \end{aligned}$$

**Proposition**  $\partial^2 = 0$  and  $\partial$  lowers degree by 1.

Two DGAs are *tamely isomorphic* if they are related by a series of “elementary automorphisms”

$$\begin{aligned} a_i &\mapsto a_i + (\text{not involving } a_i) \\ a_j &\mapsto a_j, \quad j \neq i. \end{aligned}$$

A *stabilization* of a DGA adds generators  $e_1, e_2$  with  $\partial e_1 = e_2$ ,  $\partial e_2 = 0$ . Two DGAs are *equivalent* if they are tamely isomorphic after some number of stabilizations to each.

**Proposition** Legendrian-isotopic knots have equivalent DGAs.

Problem: difficult to distinguish between DGAs in general.

Chekanov: Poincaré-type polynomials over  $\mathbb{Z}/2$ , using finite-dimensional “parts” of the homology of the DGA. But not effective in many cases.

*Characteristic algebra:*  $A / \langle \partial a_1, \dots, \partial a_n \rangle$ .  
Incorporates Poincaré polynomial.

**Proposition** Legendrian-isotopic knots have isomorphic characteristic algebras, modulo adding generators with no relations.

Characteristic algebra can be used to answer *mirror question* of Fuchs and Tabachnikov: is there a Legendrian knot with  $r = 0$  which is not Legendrian isotopic to its mirror (image under the contactomorphism  $(x, y, z) \mapsto (x, -y, -z)$ )? (Yes!)

DGA over  $\mathbb{Z}/2$ :

$$\partial a_1 = 1 + a_{10}a_5a_3$$

$$\partial a_2 = 1 + a_3 + a_3a_6a_{10} + a_3a_{11}a_7$$

$$\partial a_4 = a_{11} + a_5 + a_6a_{10}a_5 + a_{11}a_7a_5$$

$$\partial a_6 = a_{11}a_8$$

$$\partial a_7 = a_8a_{10}$$

$$\partial a_9 = 1 + a_{10}a_{11}.$$

Characteristic algebra has relations

$$1 = a_{10}a_5a_3$$

$$1 = a_3a_{10}a_5$$

$$1 = a_{10}a_5 + a_6a_{10} + a_{10}a_5^2a_7$$

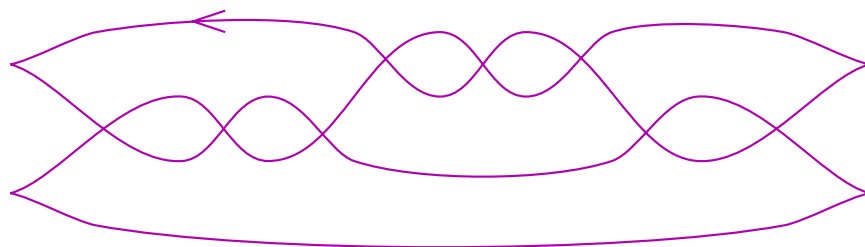
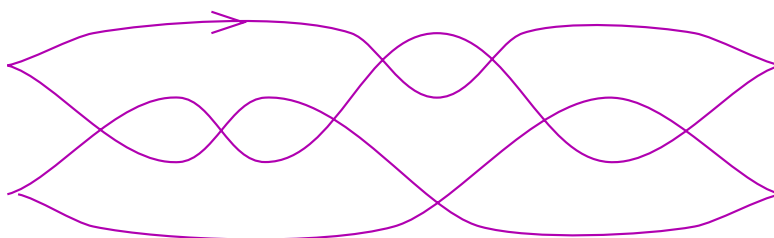
$$1 = a_{10}^2a_5^2$$

$$(a_8 = 0)$$

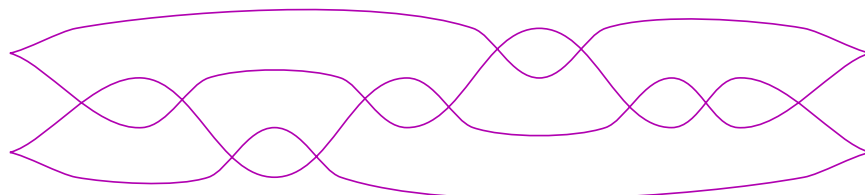
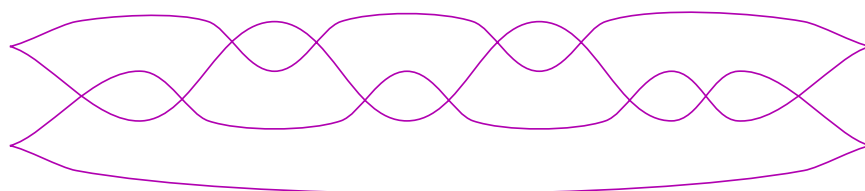
$$(a_{11} = a_{10}a_5^2)$$

with grading:  $a_7, a_{10}$  degree 1;  $a_3$  degree 0;  
 $a_5, a_6$  degree  $-1$ .

Examples where the characteristic algebra is effective:

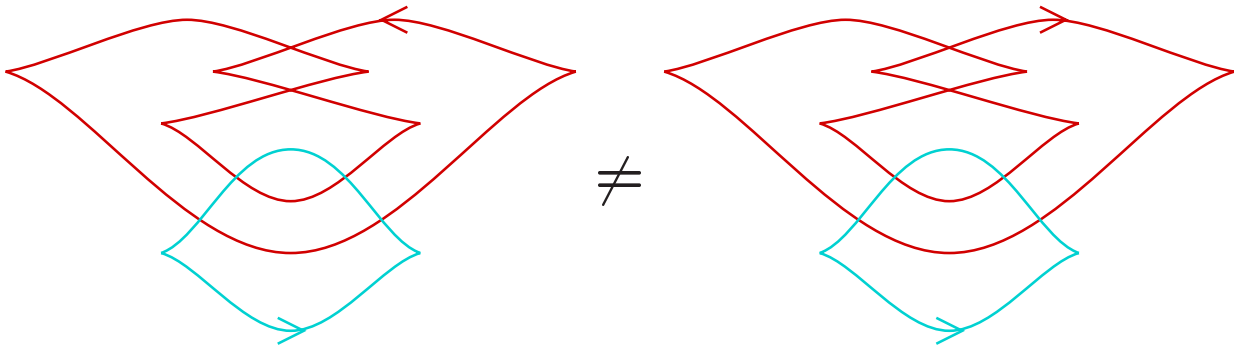
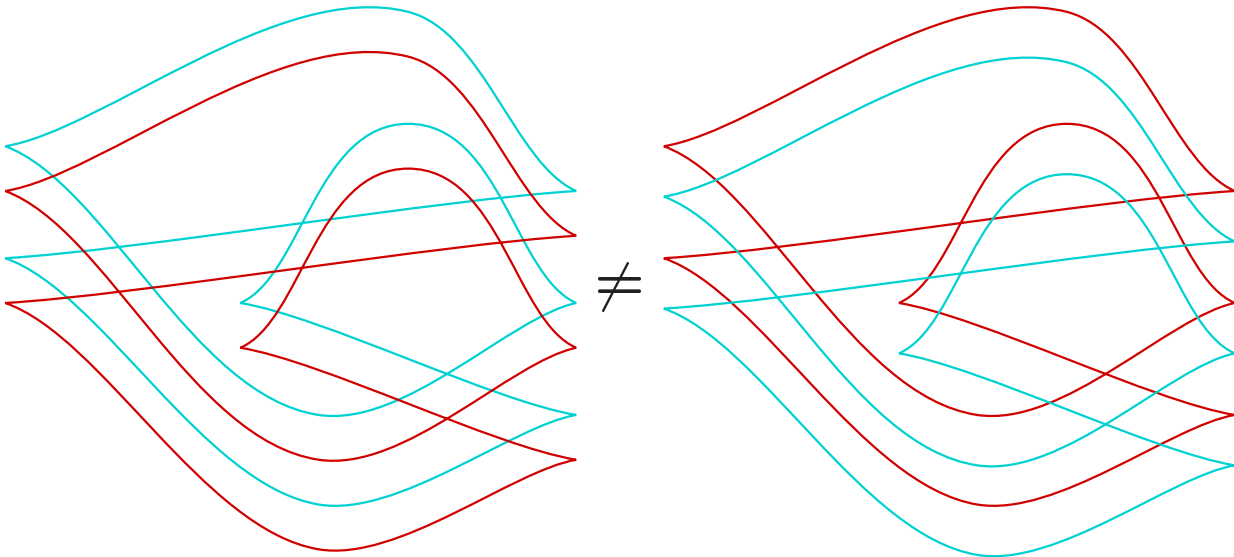
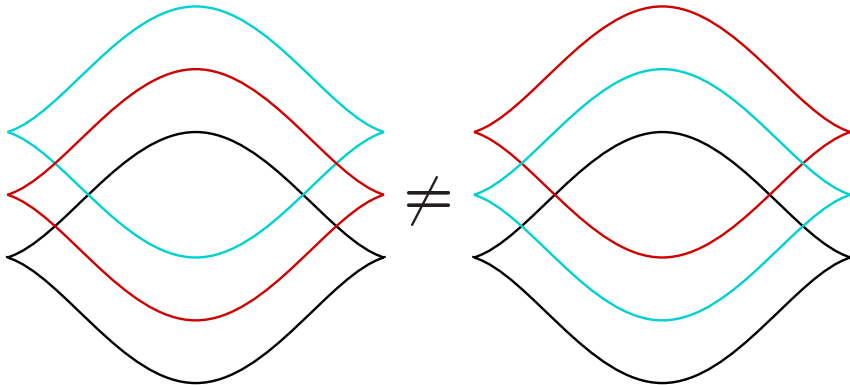


Two nonisotopic  $6_3$  knots:  $r = 1, tb = -4$ .



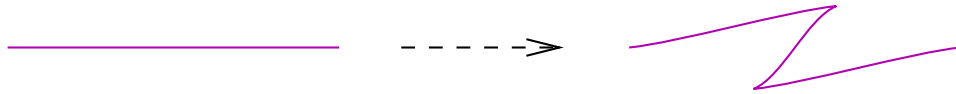
Two nonisotopic  $7_2$  knots:  $r = 0, tb = 1$ .

Michatchev: additional structure on DGA for links.



## Questions

- Useful invariants for stabilized knots? DGA vanishes.



- Symplectic Field Theory?
- Nonclassical invariants of transversal knots?
- Extend to other contact manifolds?  
(Traynor, —: solid torus  $S^1 \times \mathbb{R}^2$ .)
- Computation of general contact homology?
- Relation of DGA to contact manifold obtained by Legendrian surgery?