

The topology and geometry of Outer space

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$Out(F_n)$

$F_n = \langle a_1, a_2, \dots, a_n \rangle$ is the free group of rank n .

$$Out(F_n) = Aut(F_n)/Inn(F_n)$$

- ▶ contains $MCG(S)$ for punctured surfaces S
- ▶ maps to $GL_n(\mathbb{Z})$

The study of mapping class groups and arithmetic groups is an inspiration in the study of $Out(F_n)$.

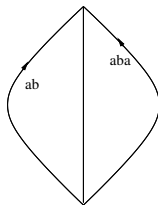
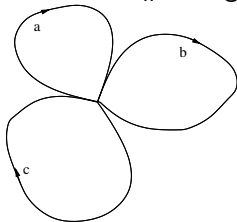
Theorem (Nielsen, 1924)

$Aut(F_n)$ (and $Out(F_n)$) are *finitely presented*. A generating set consists of the automorphisms $\sigma: a_1 \mapsto a_1 a_2, a_i \mapsto a_i$ for $i > 1$ plus the signed permutations of the a_i 's.

Outer space

Definition

- ▶ graph: finite 1-dimensional cell complex Γ , all vertices have valence ≥ 3 .
- ▶ rose $R = R_n$: wedge of n circles.

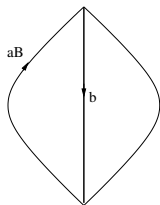
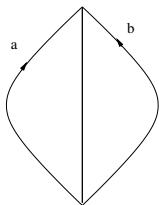
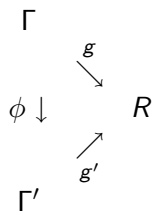


- ▶ marking: homotopy equivalence $g : \Gamma \rightarrow R$.
- ▶ metric on Γ : assignment of positive lengths to the edges of Γ so that the sum is 1.

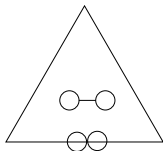
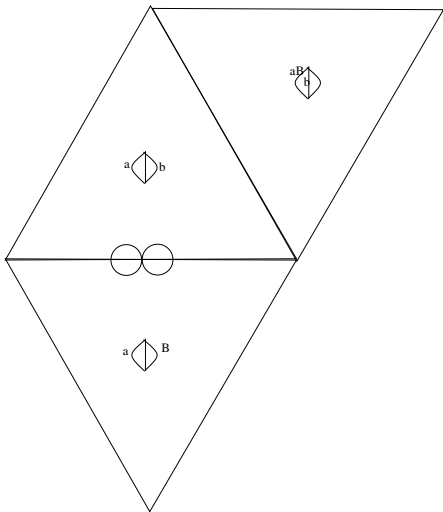
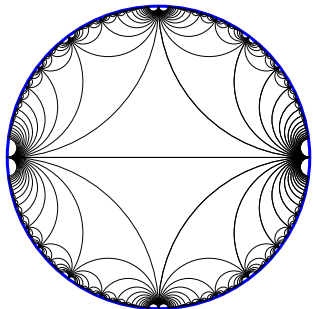
Outer space

Definition (Culler-Vogtmann, 1986)

Outer space X_n is the space of **equivalence classes** of marked metric graphs (g, Γ) where $(g, \Gamma) \sim (g', \Gamma')$ if there is an **isometry** $\phi : \Gamma \rightarrow \Gamma'$ so that $g'\phi \simeq g$.



Outer space in rank 2



Triangles have to be added to edges along the base.

Outer space

Topology (3 approaches, all equivalent):

- ▶ simplicial, with respect to the obvious decomposition into “simplices with missing faces”.
- ▶ (g, Γ) is close to (g', Γ') if there is a $(1 + \epsilon)$ -Lipschitz map $f : \Gamma \rightarrow \Gamma'$ with $g'f \simeq g$.
- ▶ via length functions: if α is a conjugacy class in F_n let $\ell_{(g, \Gamma)}(\alpha)$ be the length in Γ of the unique immersed curve a such that $g(a)$ represents α . Then, for $S = \text{set of conjugacy classes}$

$$X_n \rightarrow [0, \infty)^S$$

$$(g, \Gamma) \mapsto (\alpha \mapsto \ell_{(g, \Gamma)}(\alpha))$$

is injective – take the induced topology.

Theorem (Culler-Vogtmann, 1986)

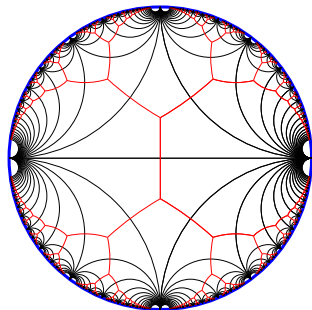
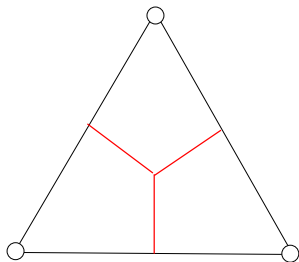
X_n is contractible.

Action

If $\phi \in \text{Out}(F_n)$ let $f : R \rightarrow R$ be a h.e. with $\pi_1(f) = \phi$ and define

$$\phi(g, \Gamma) = (fg, \Gamma) \quad \Gamma \xrightarrow{g} R_n \xrightarrow{f} R_n$$

- ▶ action is simplicial,
- ▶ point stabilizers are finite.
- ▶ there are finitely many orbits of simplices (but the quotient is not compact).
- ▶ the action is **cocompact** on the **spine** $SX_n \subset X_n$.



Topological properties

Finiteness properties:

- ▶ Virtually finite $K(G, 1)$ (Culler-Vogtmann 1986).
- ▶ $vcd(Out(F_n)) = 2n - 3$ ($n \geq 2$) (Culler-Vogtmann 1986).
- ▶ every finite subgroup fixes a point of X_n .

Other properties:

- ▶ every solvable subgroup is finitely generated and virtually abelian (Alibegović 2002)
- ▶ Tits alternative: every subgroup $H \subset Out(F_n)$ either contains a free group or is virtually abelian (B-Feighn-Handel, 2000, 2005)
- ▶ Bieri-Eckmann duality (B-Feighn 2000)

$$H^i(G; M) \cong H_{d-i}(G; M \otimes D)$$

- ▶ Homological stability (Hatcher-Vogtmann 2004)

$$H_i(Aut(F_n)) \cong H_i(Aut(F_{n+1})) \text{ for } n \gg i$$

- ▶ Computation of stable homology (Galatius, to appear)

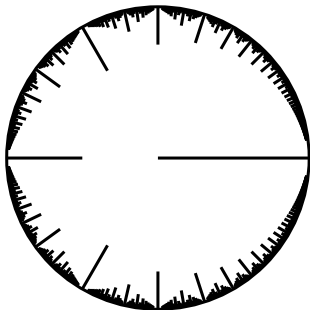
Dynamical properties

- ▶ X_n can be equivariantly compactified to $\overline{X_n}$ (Culler-Morgan, 1987), analogous to Thurston's compactification of Teichmüller space via projective measured laminations.



$$X_n \subset [0, \infty)^S \rightarrow P[0, \infty)^S$$

is injective; take the closure.



- ▶ A point of X_n can be viewed as a free simplicial F_n -tree; a point in $\partial X_n = \overline{X_n} \setminus X_n$ is an F_n -tree (not necessarily free nor simplicial).

Dynamical properties

- ▶ Points in ∂X_n can be studied using the Rips machine.
- ▶ Guirardel (2000): action on ∂X_n does not have dense orbits. He also conjecturally identified the minimal closed invariant set.
- ▶ North-South dynamics for fully irreducible elements (Levitt-Lustig, 2003)

Dictionary 1

$SL_2(\mathbb{Z})$	$SL_n(\mathbb{Z})$	$MCG(S)$	$Out(F_n)$
Trace	Jordan normal form	Nielsen-Thurston theory	train-tracks
\mathbb{H}^2	symmetric space	Teichmüller space	Outer space
hyperbolic (Anosov) element	semi-simple (diagonalizable)	pseudo-Anosov mapping class	fully irreducible automorphism
shear	parabolic	Dehn twist	polynomially growing automorphism

Dictionary 2

$MCG(S)$	$Out(F_n)$
simple closed curve	primitive conjugacy class
incompressible subsurface	free factor splitting of F_n
measured lamination	\mathbb{R} -tree
Thurston's boundary	Culler-Morgan's boundary
attracting lamination for a pseudo-Anosov	attracting tree for a fully irreducible automorphism
measured geodesic current	measured geodesic current
intersection number between measured laminations	length of a current in an \mathbb{R} -tree
curve complex	free factor complex splitting complex

Lipschitz metric on Outer space

Three metrics on Teichmüller space:

- ▶ Teichmüller metric,
- ▶ Weil-Petersson metric,
- ▶ Thurston's Lipschitz metric.

Only the Lipschitz metric has an analog.

If $(g, \Gamma), (g', \Gamma') \in X_n$ consider maps $f : \Gamma \rightarrow \Gamma'$ so that $g'f \simeq g$ (such f is the **difference of markings**).

$$\begin{array}{ccc} \Gamma & & \\ & \searrow g & \\ f \downarrow & & R \\ & \nearrow g' & \\ \Gamma' & & \end{array}$$

Consider only f 's that are linear on edges.

Arzela-Ascoli $\Rightarrow \exists f$ that minimizes the largest slope, call it $\sigma(\Gamma, \Gamma')$.

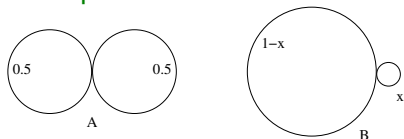
Lipschitz metric on Outer space

Definition

$$d(\Gamma, \Gamma') = \log \sigma(\Gamma, \Gamma')$$

- ▶ $d(\Gamma, \Gamma'') \leq d(\Gamma, \Gamma') + d(\Gamma', \Gamma'')$,
- ▶ $d(\Gamma, \Gamma') = 0 \iff \Gamma = \Gamma'$.
- ▶ in general, $d(\Gamma, \Gamma') \neq d(\Gamma', \Gamma)$.

Example



$$d(A, B) = \log \frac{1-x}{0.5} \rightarrow \log 2$$

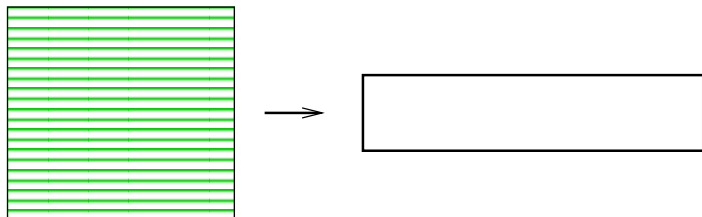
$$d(B, A) = \log \frac{0.5}{x} \rightarrow \infty$$

But [\[Handel-Mosher\]](#) The restriction of d to the spine is quasi-symmetric, i.e. $d(\Gamma, \Gamma')/d(\Gamma', \Gamma)$ is uniformly bounded.

Lipschitz metric on Outer space

Theorem (Thurston)

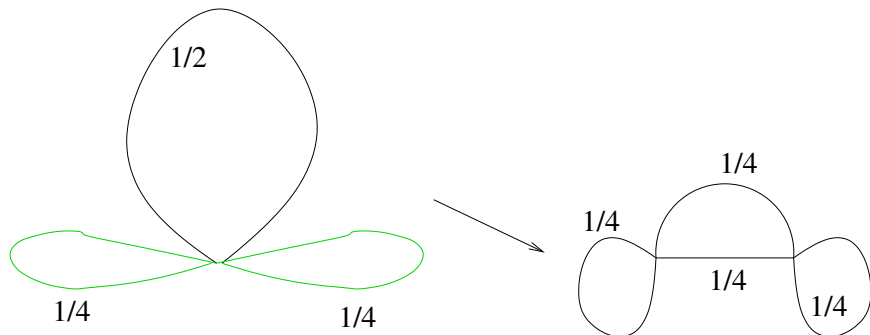
Let $f : S \rightarrow S'$ be a homotopy equivalence between two closed hyperbolic surfaces that minimizes the Lipschitz constant in its homotopy class. Then there is a geodesic lamination $\Lambda \subset S$ so that f is linear along the leaves of Λ with slope equal to the maximum. Moreover, f can be perturbed so that in the complement of Λ the Lipschitz constant is smaller than maximal.



Lipschitz metric on Outer space

Theorem

Let $f : \Gamma \rightarrow \Gamma'$ be a homotopy equivalence between two points of X_n that minimizes the Lipschitz constant in its homotopy class. Then there is a subgraph $\Gamma_0 \subset \Gamma$ so that f is linear along the edges of Γ_0 with slope equal to the maximum and Γ_0 has a train-track structure with at least two gates at each vertex. Moreover, f can be perturbed so that in the complement of Γ_0 the Lipschitz constant is smaller than maximal.



Lipschitz metric on Outer space

An application:

Theorem (B-Handel, 1992)

Every irreducible automorphism ϕ of F_n has a train-track representative.

Definition

An automorphism of F_n is **reducible** if it can be represented as a map $f : \Gamma \rightarrow \Gamma$ so that $f(\Gamma_0) \subseteq \Gamma_0$ for some proper subgraph with non-contractible components. Otherwise, it is **irreducible**.

Examples

$$a \mapsto ab$$

$$b \mapsto bab$$

$$c \mapsto c[a, b]$$



$$a \mapsto b, b \mapsto a$$

Train track maps

Definition

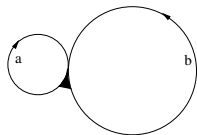
A map $f : \Gamma \rightarrow \Gamma$ is a **train track map** if it sends vertices to vertices and for every edge e and $k \geq 1$ the map $f^k|_e$ is an immersion.

Definition

A map $f : \Gamma \rightarrow \Gamma$ is a **train track map** if it sends vertices to vertices, edges to immersed edge-paths, and the set of directions at every vertex can be divided into equivalence classes (gates) so that

- ▶ $d_1 \not\sim d_2 \Rightarrow f(d_1) \not\sim f(d_2)$, and
- ▶ for every edge e every turn in $f(e)$ consists of inequivalent directions.

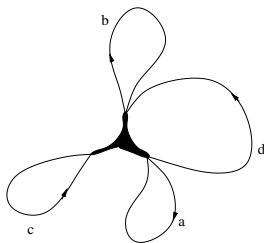
Train track maps



$$a \mapsto ab$$

$$b \mapsto bab$$

$$|a| = 1, |b| = \lambda - 1$$
$$\lambda^2 - 3\lambda + 1 = 0$$



$$a \mapsto b$$

$$b \mapsto c$$

$$c \mapsto dA$$

$$d \mapsto DC$$

$$|a| = 1, |b| = \lambda$$
$$|c| = \lambda^2, |d| = \lambda^3 - 1$$
$$\lambda^4 - \lambda^3 - \lambda^2 - \lambda + 1 = 0$$

Train track maps

Train tracks are good for understanding dynamics. For example, assuming f is a train track representative of ϕ .

- ▶ growth rates: $|f^i(w)| \sim \lambda^i$ for “most” w . We write $\lambda = GR(\phi)$.
- ▶ fixed subgroups: $rank(\text{Fix } f_*) \leq n$ (and most of the time it is trivial).
- ▶ dynamics at infinity.

Proof of existence of train tracks

Proof.

(Sketch) Parallel to Bers' proof of Nielsen-Thurston classification.
Consider

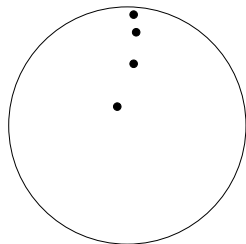
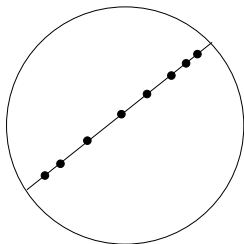
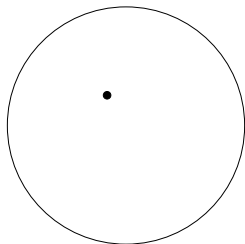
$$\Phi : X_n \rightarrow [0, \infty)$$

$$\Phi(\Gamma) = d(\Gamma, \phi(\Gamma))$$

There are 3 cases:

- ▶ $\inf \Phi = 0$ and is realized. Then there is Γ with $\phi(\Gamma) = \Gamma$ so ϕ has finite order.
- ▶ $\inf \Phi > 0$ and is realized, say at Γ . Apply above Theorem to $\phi : \Gamma \rightarrow \phi(\Gamma)$. Argue that $\Gamma_0 = \Gamma$ or else ϕ is reducible. Train-track structure on Γ_0 can be promoted to give the theorem.
- ▶ $d = \inf \Phi$ is not realized. Let $\Gamma_i \in X_n$ have $d(\Gamma_i, \phi(\Gamma_i)) \rightarrow d$. Argue that projections to $X_n / \text{Out}(F_n)$ leave every compact set. Thus Γ_i has “thin part” which must be invariant, so ϕ is reducible.

Proof of existence of train tracks

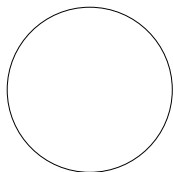


Axes

This proof also shows that irreducible ϕ has an **axis** with translation length $\log \lambda$, where λ is the expansion rate of ϕ .

Theorem (Yael Algom-Kfir, 2008)

*Axes of irreducible elements are **strongly contracting**, i.e. the projection of any ball disjoint from the axis to the axis has uniformly bounded size.*



The analogous theorem in Teichmüller space was proved by Minsky (1996).

Corollary (Yael Algom-Kfir)

Fully irreducible elements in $\text{Out}(F_n)$ are Morse.



Intersection numbers

If T_1, T_2 are G -trees, Scott-Swarup defined the **intersection number** $I(T_1, T_2)$.

When T_1, T_2 are dual to scc's (or measured laminations) on a surface, one gets the usual intersection number.

Guirardel reinterpreted $I(T_1, T_2)$: there is a canonical G -invariant subset $C \subset T_1 \times T_2$ ("Guirardel core") and

$$I(T_1, T_2) = \text{vol}(C/G)$$

Intersection numbers

Theorem (Thurston)

If f is a pseudo-Anosov homeomorphism then $I(a, f^n(a)) \sim \lambda^n$ for any scc a , where $\lambda = GR(f)$.

Theorem (Behrstock-B-Clay)

If f is a fully irreducible automorphism of F_n then

$$I(T, f^n(T)) \sim \max\{\lambda, \mu\}^n \text{ or } n\lambda^n$$

where $\lambda = GR(f)$ and $\mu = GR(f^{-1})$.

Constructing fully irreducible automorphisms

Theorem (Thurston 1979, Penner 1988, Hamidi-Tehrani 2002)

Let a, b be two scc's that fill a closed hyperbolic surface. Then $\langle D_a, D_b \rangle$ is free and any element not conjugate to a power of D_a or D_b is pseudo-Anosov.

Theorem (Clay-Pettet, in preparation)

Let S, T be two cyclic F_n -trees that fill F_n . Then for large $k > 0$ the group $\langle D_S^k, D_T^k \rangle$ is free and any element not conjugate to a power of D_S or D_T is fully irreducible.

Curve complex

Definition (Harvey)

Let Σ be a compact surface. The **curve complex** $\mathcal{C}(\Sigma)$ is the simplicial complex whose vertices are isotopy classes of essential nonperipheral simple closed curves in Σ and simplices correspond to collections that can be represented by pairwise disjoint curves.



Curve complex

We would like to have an analog of the following:

Theorem (Masur-Minsky, 1999)

The curve complex is δ -hyperbolic.

The candidates for the curve complex are:

- ▶ the splitting complex, and
- ▶ the free factor complex.

Conjecture

They are both δ -hyperbolic.

Curve complex

There are constructions of δ -hyperbolic $Out(F_n)$ -graphs (or even quasi-trees) [B-Feighn 2008, B-Bromberg-Fujiwara 2009], but they depend on some choices. They are good enough for some applications:

- ▶ $H_b^2(Out(F_n); \mathbb{R})$ is infinite-dimensional.
- ▶ There are arbitrarily large balls in the Cayley graph of $Out(F_n)$ consisting of fully irreducible automorphisms.